

Mean Values
of Dirichlet
polynomials
and fourth
moment of
Zeta function

Robert C.
Vaughan

Mean Value
Theorems

Schur's
Original Proof

Slick Proof of
Hilbert's
Inequality

Moments of
Zeta Function

Mean Values of Dirichlet polynomials and fourth moment of Zeta function

Robert C. Vaughan

September 24, 2024

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$$\sum_{n=1}^N |a_n|^2 T + \sum_{\substack{1 \leq m, n \leq N \\ m \neq n}} a_m \bar{a}_n \frac{(m/n)^{iT} - 1}{i \log(m/n)}.$$

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- Thus when $m \neq n$, $|\log(m/n)| \geq \frac{1}{\max(m,n) + \frac{1}{2}}$.

- The following generalization of Hilbert's inequality is useful
- Theorem 1.** Suppose that $\delta_1, \dots, \delta_R$ are R positive numbers and x_1, \dots, x_R are R real numbers satisfying

$$\min_{s \neq r} |x_s - x_r| \geq \delta_r \quad (r = 1, \dots, R),$$

and suppose further that a_1, \dots, a_N are N complex numbers. Then

$$\sum_{\substack{1 \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{a}_s}{x_r - x_s} \ll \sum_{r=1}^R |a_r|^2 \delta_r^{-1}.$$

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- **Corollary 1.1** We have

$$\int_0^T \left| \sum_{n=1}^N a_n n^{it} \right|^2 dt = \sum_{n=1}^N |a_n|^2 (T + O(n)).$$

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- Note $a_m \bar{a}_n \frac{(m/n)^{iT} - 1}{\log(m/n)} = \frac{a'_m \bar{a}'_n - a_m \bar{a}_n}{\log m - \log n}$.

- Schur obtained the best possible bound

$$\left| \sum_{\substack{1 \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{a}_s}{r - s} \right| \leq \pi \sum_{r=1}^R |a_r|^2.$$

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- The $R \times R$ matrix \mathcal{M} with entries 0 when $r = s$

$$c_{rs} = \frac{\delta_r^{1/2} \delta_s^{1/2}}{x_r - x_s} \text{ when } r \neq s$$

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- If we take $b_r = a_r \delta_r^{-1/2}$, then

$$\left| \sum_{\substack{1 \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{a}_s}{x_r - x_s} \right| = |\mathbf{b} \mathcal{M} \mathbf{b}^*| \leq \lambda \mathbf{b} \cdot \mathbf{b}^* = \lambda \sum_{r=1}^R |a_r|^2 \delta_r^{-1}.$$

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- We want to bound λ . Let \mathbf{b} be an eigenvector corresponding to $i\lambda$ so that

$$\sum_{\substack{r=1 \\ r \neq s}}^R \frac{b_r \delta_r^{1/2} \delta_s^{1/2}}{x_r - x_s} = i\lambda b_s, \quad \sum_{\substack{r=1 \\ r \neq s}}^R \frac{a_r}{x_r - x_s} = i\lambda a_s \delta_s^{-1}.$$

and normalised so that $\sum_{r=1}^R |b_r|^2 = 1$.

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- Choosing a_r as above we have $\sum_{r=1}^R |a_r|^2 \delta_r^{-1} = 1$ and, by Cauchy-Schwarz,

$$\lambda^2 = \left| \sum_{\substack{1 \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{a}_s}{x_r - x_s} \right|^2 \leq \sum_{r=1}^R \delta_r \left| \sum_{\substack{s=1 \\ s \neq r}}^R \frac{\bar{a}_s}{x_r - x_s} \right|^2.$$

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- Squaring out and interchanging the order this is

$$\sum_{s=1}^R \sum_{t=1}^R \bar{a}_s a_t \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \frac{\delta_r}{(x_r - x_s)(x_r - x_t)}$$

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$$\sum_{s=1}^R |a_s|^2 \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\delta_r}{(x_r - x_s)^2}$$

- When $s \neq t$ we use the identity

$$\frac{1}{(x_r - x_s)(x_r - x_t)} = \frac{1}{x_s - x_t} \left(\frac{1}{x_r - x_s} - \frac{1}{x_r - x_t} \right).$$

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- $$\sum_{\substack{r=1 \\ r \neq s}}^R \frac{a_r}{x_r - x_s} = i\lambda a_s \delta_s^{-1}$$

$$\lambda^2 \leq \sum_{s=1}^R |a_s|^2 \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\delta_r}{(x_r - x_s)^2} +$$

$$\sum_{s=1}^R \sum_{\substack{t=1 \\ t \neq s}}^R \frac{\bar{a}_s a_t}{x_s - x_t} \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \delta_r \left(\frac{1}{x_r - x_s} - \frac{1}{x_r - x_t} \right).$$

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- We divide the triple sum here into two parts.

$$\sum_{s=1}^R \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\bar{a}_s \delta_r}{x_r - x_s} \sum_{\substack{t=1 \\ t \neq r, t \neq s}}^R \frac{a_t}{x_s - x_t} -$$

$$\sum_{t=1}^R \sum_{\substack{r=1 \\ r \neq t}}^R \frac{a_t \delta_r}{x_r - x_t} \sum_{\substack{s=1 \\ s \neq r, s \neq t}}^R \frac{\bar{a}_s}{x_s - x_t}.$$

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$$\bullet \sum_{\substack{r=1 \\ r \neq s}}^R \frac{a_r}{x_r - x_s} = i\lambda a_s \delta_s^{-1}, \sum_{s=1}^R \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\bar{a}_s \delta_r}{x_r - x_s} \sum_{\substack{t=1 \\ t \neq r, t \neq s}}^R \frac{a_t}{x_s - x_t}$$

$$- \sum_{t=1}^R \sum_{\substack{r=1 \\ r \neq t}}^R \frac{a_t \delta_r}{x_r - x_t} \sum_{\substack{s=1 \\ s \neq r, s \neq t}}^R \frac{\bar{a}_s}{x_s - x_t}.$$

- $\sum_{\substack{r=1 \\ r \neq s}}^R \frac{a_r}{x_r - x_s} = i\lambda a_s \delta_s^{-1}, \quad \sum_{s=1}^R \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\bar{a}_s \delta_r}{x_r - x_s} \sum_{\substack{t=1 \\ t \neq r, t \neq s}}^R \frac{a_t}{x_s - x_t}$

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- We apply the eigenvalue property to the inner sums, but there are terms missing which we need to add back in.

$$\sum_{\substack{t=1 \\ t \neq r, t \neq s}}^R \frac{a_t}{x_s - x_t} = -i\lambda a_s \delta_s^{-1} - \frac{a_r}{x_s - x_r},$$

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- In each case the first terms cancel.

- We are left with

$$\sum_{s=1}^R \sum_{\substack{r=1 \\ r \neq s}}^R \frac{\bar{a}_s a_r \delta_r}{(x_r - x_s)^2} + \sum_{t=1}^R \sum_{\substack{r=1 \\ r \neq t}}^R \frac{a_t \bar{a}_r \delta_r}{(x_r - x_t)^2}$$

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- Putting it together

$$\lambda^2 \leq \sum_{s=1}^R \sum_{\substack{r=1 \\ r \neq s}}^R \frac{(|a_s|^2 + a_s \bar{a}_r + \bar{a}_s a_r) \delta_r}{(x_r - x_s)^2}$$

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- The first of the three terms is fairly straightforward.

Lemma 0. We have

$$\sum_{\substack{r=1 \\ r \neq s}}^R \frac{\delta_r}{(x_r - x_s)^2} \ll \delta_s^{-1}.$$

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Lemma 0. We have

$$\sum_{\substack{r=1 \\ r \neq s}}^R \frac{\delta_r}{(x_r - x_s)^2} \ll \delta_s^{-1}.$$

- Proof. Since x^{-2} is convex we have

$$\frac{\delta_r}{(x_r - x_s)^2} \leq \int_{x_r - \delta_r/2}^{x_r + \delta_r/2} (x - x_s)^{-2} dx.$$

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- That leaves

$$T = \sum_{r=1}^R \sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_r a_s|(\delta_r + \delta_s)}{(x_r - x_s)^2}$$

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- Cauchy-Schwarz and the normalization of the a_r gives

$$\frac{T^2}{4} = \left(\sum_{r=1}^R \sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_r a_s| \delta_s}{(x_r - x_s)^2} \right)^2 \leq \sum_{r=1}^R \delta_r \left(\sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_s| \delta_s}{(x_r - x_s)^2} \right)^2$$

$$= \sum_{s=1}^R \sum_{t=1}^R |a_s a_t| \delta_s \delta_t \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \frac{\delta_r}{(x_r - x_s)^2 (x_r - x_t)^2}.$$

- $\frac{1}{2}T = \sum_{r=1}^R \sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_r a_s| \delta_s}{(x_r - x_s)^2}.$

- Cauchy-Schwarz and the normalization of the a_r gives

$$\frac{T^2}{4} = \left(\sum_{r=1}^R \sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_r a_s| \delta_s}{(x_r - x_s)^2} \right)^2 \leq \sum_{r=1}^R \delta_r \left(\sum_{\substack{s=1 \\ s \neq r}}^R \frac{|a_s| \delta_s}{(x_r - x_s)^2} \right)^2$$

$$= \sum_{s=1}^R \sum_{t=1}^R |a_s a_t| \delta_s \delta_t \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \frac{\delta_r}{(x_r - x_s)^2 (x_r - x_t)^2}.$$

- When $s = t$, in a similar way to Lemma 0, the inner sum is $\ll \delta_s^{-3}$ and so

$$T^2 \ll 1 + \sum_{s=1}^R \sum_{\substack{t=1 \\ t \neq s}}^R |a_s a_t| \delta_s \delta_t \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \frac{\delta_r}{(x_r - x_s)^2 (x_r - x_t)^2}.$$

$$\bullet \quad T^2 \ll 1 + \sum_{s=1}^R \sum_{\substack{t=1 \\ t \neq s}}^R |a_s a_t| \delta_s \delta_t \sum_{\substack{r=1 \\ r \neq s, r \neq t}}^R \frac{\delta_r}{(x_r - x_s)^2 (x_r - x_t)^2}.$$

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- We have $\frac{1}{(x_r - x_s)^2 (x_r - x_t)^2}$

$$= \frac{1}{(x_s - x_t)^2} \left(\frac{1}{x_r - x_s} - \frac{1}{x_r - x_t} \right)^2$$

$$\ll \frac{1}{(x_s - x_t)^2} \left(\frac{1}{(x_r - x_s)^2} + \frac{1}{(x_r - x_t)^2} \right)$$

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$$\ll \frac{1}{(x_s - x_t)^2} \left(\frac{1}{(x_r - x_s)^2} + \frac{1}{(x_r - x_t)^2} \right)$$

- Now we can apply Lemma 0 once more to obtain

$$T^2 \ll 1 + \sum_{s=1}^R \sum_{\substack{t=1 \\ t \neq s}}^R |a_s a_t| \frac{\delta_t + \delta_s}{(x_s - x_t)^2} \ll 1 + T$$

so $T \ll 1$.

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- Assume $\sum_{r=-R}^R |a_r|^2 = \sum_{s=-R}^R |b_s|^2 = 1$ and $N \geq R$. By C-S

$$\left| \sum_{\substack{-R \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{b}_s}{r - s} \right|^2 \leq \sum_{r=-N}^N \left| \sum_{\substack{s=-R \\ s \neq r}}^R \frac{\bar{b}_s}{r - s} \right|^2.$$

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- The terms with $s = t$ contribute

$$\sum_{s=-R}^R |b_s|^2 \sum_{\substack{r=-R \\ r \neq s}}^R \frac{1}{(r-s)^2} < \frac{\pi^2}{3} \sum_{s=-R}^R |b_s|^2.$$

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- When $s \neq t$ use $\frac{1}{(r-s)(r-t)} = \frac{1}{s-t} \left(\frac{1}{r-s} - \frac{1}{r-t} \right)$.

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- Thus $\left| \sum_{\substack{-R \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{b}_s}{r - s} \right|^2 \leq$

$$\frac{\pi^2}{3} + \sum_{s=-R}^R \sum_{\substack{t=-R \\ t \neq s}}^R \frac{\bar{b}_s b_t}{s - t} \sum_{\substack{r=-N \\ r \neq s, r \neq t}}^N \left(\frac{1}{r - s} - \frac{1}{r - t} \right)$$

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- Likewise for the other sum.

- Thus $\left| \sum_{\substack{-R \leq r, s \leq R \\ r \neq s}} \frac{a_r \bar{b}_s}{r - s} \right|^2 \leq$

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- Likewise for the other sum.
- Hence we obtain the upper bound

$$\sum_{s=-R}^R \sum_{\substack{t=-R \\ t \neq s}}^R \frac{2 \operatorname{Re} \bar{b}_s b_t}{(s - t)^2} \leq 2 \sum_{s=-R}^R |b_s|^2 \sum_{\substack{t=-R \\ t \neq s}}^R \frac{1}{(s - t)^2} < \frac{2\pi^2}{3}.$$

Slick Proof of Hilbert's Inequality

- Note $\int_0^1 e(\alpha h) \left(\alpha - \frac{1}{2} \right) d\alpha = \begin{cases} 0 & h = 0, \\ \frac{1}{2\pi i h} & h \in \mathbb{Z} \setminus \{0\}, \end{cases}$

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$$\int_0^1 \sum_{r=-R}^R \sum_{s=-R}^R a_r \bar{b}_s e(\alpha(r-s)) \left(\alpha - \frac{1}{2} \right) d\alpha$$

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- so that $\left| \sum_{r=-R}^R \sum_{\substack{s=-R \\ s \neq r}}^R \frac{a_r \bar{b}_s}{r-s} \right| \leq$

$$\pi \left(\int_0^1 \left| \sum_{r=-R}^R a_r e(\alpha r) \right|^2 d\alpha \int_0^1 \left| \sum_{s=-R}^R \bar{b}_s e(-\beta s) \right|^2 d\beta \right)^{1/2}$$

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- Thus

$$\left| \sum_{r=-R}^R \sum_{\substack{s=-R \\ s \neq r}}^R \frac{a_r \bar{b}_s}{r-s} \right| \leq \pi \left(\sum_{r=-R}^R |a_r|^2 \sum_{s=-R}^R |b_s|^2 \right)^{1/2}.$$

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- One can also show that the π is best possible.
- Let $\eta > 0$ and $f(\alpha) = \begin{cases} 0 & 0 \leq \alpha < 1 - \eta \\ \eta^{-1/2} & 1 - \eta \leq \alpha < 1. \end{cases}$ and consider its Fourier series $f(\alpha) = \sum_{r=-\infty}^{\infty} a_r e(\alpha r).$

- Thus

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consider its Fourier series $f(\alpha) = \sum_{r=-\infty}^{\infty} a_r e(\alpha r).$

- Then $\sum_{r=-\infty}^{\infty} \sum_{\substack{s=-\infty \\ s \neq r}}^{\infty} \frac{a_r \bar{b}_s}{r-s} = 2\pi i \int_0^1 f(\alpha)^2 \left(\alpha - \frac{1}{2} \right) d\alpha =$

$$2\pi i \eta^{-1} \left(\frac{1}{8} - \frac{1}{2} \left(\frac{1}{2} - \eta \right)^2 \right) = \pi i (1 - \eta)$$

and $\sum_{r=-\infty}^{\infty} |a_r|^2 = \int_0^1 f(\alpha)^2 d\alpha = 1.$

- Let $k \in \mathbb{N}$ and define $d_k(n)$ to be the number of choices of n_1, \dots, n_k with $n_1 \dots n_k = n$.

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- Then for $\sigma > 1$ we have $\zeta(s)^k = \sum_{n=1}^{\infty} d_k(n) n^{-s}$.
- This has an analytic continuation to $\mathbb{C} \setminus \{1\}$ with a pole of order k at $s = 1$.
- One can also show that

$$\sum_{n \leq x} d_k(n) = x \mathcal{P}_k(\log x) + O(x^{1-1/k+\varepsilon})$$

where \mathcal{P}_k is a polynomial of degree $k - 1$ and

$$\sum_{n \leq x} d_k(n)^2 \sim x \mathcal{Q}_k(\log x)$$

where $\mathcal{Q}_k(x)$ is a polynomial of degree $k^2 - 1$.

- From above

$$\begin{aligned} & \int_0^T \left| \sum_{n \leq N} d_k(n) n^{-\frac{1}{2}-it} \right|^2 dt \\ &= \sum_{n \leq N} d_k(n)^2 n^{-1} (T + O(n)) \\ &= TP_k(\log N) + O(N(\log N)^{k^2-1}) \end{aligned}$$

where $P_k(n)$ is a polynomial of degree k^2 with leading coefficient

$$\frac{1}{(k^2)!} \prod \left(\left(1 - \frac{1}{p}\right)^{k^2} \sum_{h=0}^{\infty} \binom{k+h-1}{h}^2 p^{-h} \right).$$

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- So we want to approximate to $\zeta(\frac{1}{2} + it)^k$ by a Dirichlet polynomial of length N at most about T

- By the functional equation for ζ we have the functional equation

$$\zeta(s)^k = \gamma(s)^k \zeta(1-s)^k$$

where

$$\gamma(s) = \pi^{s-\frac{1}{2}} \left(\frac{\Gamma\left(\frac{1-s}{2}\right)}{\Gamma\left(\frac{s}{2}\right)} \right)$$

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- Note that $\gamma(s)$ is analytic for all s except s with $\frac{s+1}{2} \in \mathbb{N}$ and in particular whenever $\operatorname{Re} s < 1$.
- Thus, by Stirling's formula for complex argument.

Lemma 1 Suppose that $\sigma_0, \sigma_1 \in \mathbb{R}$, $\sigma_0 < \sigma_1$, and $s = \sigma + it$. Then

$$\gamma(s) \ll |t|^{\frac{1}{2}-\sigma}$$

uniformly in the region $\{s : |t| \geq 1, \sigma_0 \leq \sigma \leq \sigma_1\}$. In particular γ is bounded on the $\frac{1}{2}$ -line.

- **Lemma 2** Suppose that $\sigma_0, \sigma_1 \in \mathbb{R}$, $\sigma_0 < \sigma_1$, and $s = \sigma + it$. Then

$$\zeta(s)^k \ll |t|^{k\mu(\sigma)+\varepsilon}$$

uniformly in the region $\{s : |t| \geq 1, \sigma_0 \leq \sigma \leq \sigma_1\}$, where

$$\mu(\sigma) = \begin{cases} \frac{1}{2} - \sigma & (\sigma \leq 0), \\ \frac{1-\sigma}{2} & (0 < \sigma \leq 1), \\ 0 & (\sigma > 1), \end{cases}$$

and the implicit constant may depend on k and ε .

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- This is trivial when $\sigma \geq 1 + \delta$ and δ is any sufficiently small (in terms of k and ε) positive real number, and is immediate from the functional equation when $\sigma \leq -\delta$.
- In the range $-\delta < \sigma < 1 - \delta$ it follows from a general convexity principle for Dirichlet series, see Titchmarsh, The Theory of Functions, second edition, Oxford University Press, 1939, §§5.65, 9.41.

- There are “approximate functional equations” for $\zeta(s)$ and $\zeta(s)^2$ which go back to a famous paper of Hardy and Littlewood, Acta Mathematica, 1917. of the form

$$\zeta(s)^k = \sum_{n \leq x} d_k(n) n^{-s} + \gamma(s)^k \sum_{n \leq y} d_k(n) n^{s-1} + E(x, y)$$

with $2\pi xy \approx |t|^k$.

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- These are tricky to prove and use and are not useful when $k > 2$.
- This can be simplified by using weights, but the classical literature seems to have overlooked this.

- An approximate functional equation.

Lemma 3 Suppose that $0 < \sigma < 1$, $-1 < \phi < -\sigma$,
 $0 < \psi < 1 - \sigma$, $j \geq \frac{3}{2}k + 1$, $x \geq 1$, $y \geq 1$. Then $\zeta(s)^k =$

$$\sum_{n \leq x} d_k(n) n^{-s} (1 - n/x)^j$$

$$+ \gamma(s)^k \sum_{n \leq y} d_k(n) n^{s-1} - U(s) - V(s) - R(s)$$

$$\lambda_j(x, z) = \frac{x^z j!}{z(z+1)\dots(z+j)}, \quad U(s) =$$

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(s+w)^k \sum_{n>y} d_k(n) n^{s+w-1} \lambda_j(x, w) dw,$$

$$R(s) = \text{Res}(\zeta(z)^k \lambda_j(x, z-s))_{z=1}, \quad V(s) =$$

$$\frac{1}{2\pi i} \int_{\psi-i\infty}^{\psi+i\infty} \gamma(s+w)^k \sum_{n \leq y} d_k(n) n^{s+w-1} \lambda_j(x, w) dw$$

- Moving the path to $\operatorname{Re} w = -\infty$ when $u \geq 1$ and $\operatorname{Re} w = +\infty$ when $u < 1$ gives for $j \geq 1$, $u > 0$, $\theta > 1$,

$$\frac{1}{2\pi i} \int_{\theta-i\infty}^{\theta+i\infty} \lambda_j(u, w) dw = \begin{cases} (1 - 1/u)^j & (u \geq 1), \\ 0 & (u < 0). \end{cases}$$

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- By the absolute convergence of this and the uniform convergence of $\zeta(s + w)^k$ when $\operatorname{Re} w = \theta$

$$\frac{1}{2\pi i} \int_{\theta-i\infty}^{\theta+i\infty} \zeta(s + w)^k \lambda_j(x, w) dw = \sum_{n \leq x} \frac{d_k(n)}{n^s} \left(1 - \frac{n}{x}\right)^j.$$

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$$\frac{1}{2\pi i} \int_{\theta-i\infty}^{\theta+i\infty} \lambda_j(u, w) dw = \begin{cases} (1 - 1/u)^j & (u \geq 1), \\ 0 & (u < 0). \end{cases}$$

- By the absolute convergence of this and the uniform convergence of $\zeta(s + w)^k$ when $\operatorname{Re} w = \theta$

$$\frac{1}{2\pi i} \int_{\theta-i\infty}^{\theta+i\infty} \zeta(s + w)^k \lambda_j(x, w) dw = \sum_{n \leq x} \frac{d_k(n)}{n^s} \left(1 - \frac{n}{x}\right)^j.$$

- By Lemma 2, when $\operatorname{Re}(s + w) > -1$,

$$\zeta(s + w)^k - \mathcal{P}_k \left(\frac{1}{s + w - 1} \right) \ll (1 + |\operatorname{Im}(s + w)|)^{\frac{3}{2}k + \varepsilon}.$$

Hence, as $j + 1 \geq \frac{3}{2}k + 1$, we may move the vertical path to the line $\operatorname{Re} w = \phi$, picking up the residues of the integrand at $w = 1 - s$ and $w = 0$.

- so that $\sum_{n \leq x} \frac{d_k(n)}{n^s} (1 - n/x)^j = R(s) + \zeta(s)^k +$

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(s+w)^k \zeta(1-s-w)^k \lambda_j(u, w) dw.$$

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- As $\operatorname{Re}(s+w) = -\sigma - \phi > 0$ we may write

$$\zeta(1-s-w)^k = \sum_{n \leq y} d_k(n) n^{s+w-1} + \sum_{n > y} d_k(n) n^{s+w-1}.$$

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- so that $\sum_{n \leq x} \frac{d_k(n)}{n^s} (1 - n/x)^j = R(s) + \zeta(s)^k +$

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(s+w)^k \zeta(1-s-w)^k \lambda_j(u, w) dw.$$

- As $-\operatorname{Re}(s+w) = -\sigma - \phi > 0$ we may write

$$\zeta(1-s-w)^k = \sum_{n \leq y} d_k(n) n^{s+w-1} + \sum_{n > y} d_k(n) n^{s+w-1}.$$

- The second series here gives rise to $U(s)$,
- and we treat the part arising from the first, namely

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(s+w)^k \sum_{n \leq y} d_k(n) n^{s+w-1} \lambda_j(u, w) dw,$$

by moving the path of integration to the line $\operatorname{Re} w = \psi$,
picking up a further residue at $w = 0$, obtaining

$$-\gamma(s)^k \sum_{n \leq y} f(n) n^{s-1} + V(s).$$

This completes the proof of the lemma.

- **Lemma 3** Suppose that $0 < \sigma < 1$, $-1 < \phi < -\sigma$,
 $0 < \psi < 1 - \sigma$, $j \geq \frac{3}{2}k + 1$, $x \geq 1$, $y \geq 1$. Then $\zeta(s)^k =$

$$\sum_{n \leq x} d_k(n) n^{-s} (1 - n/x)^j$$

$$+ \gamma(s)^k \sum_{n \leq y} d_k(n) n^{s-1} - U(s) - V(s) - R(s)$$

$$\lambda_j(x, z) = \frac{x^z j!}{z(z+1)\dots(z+j)}, \quad U(s) =$$

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(s+w)^k \sum_{n>y} d_k(n) n^{s+w-1} \lambda_j(x, w) dw,$$

$$R(s) = \text{Res}(\zeta(z)^k \lambda_j(x, z-s))_{z=1}, \quad V(s) =$$

$$\frac{1}{2\pi i} \int_{\psi-i\infty}^{\psi+i\infty} \gamma(s+w)^k \sum_{n \leq y} d_k(n) n^{s+w-1} \lambda_j(x, w) dw$$

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- **Theorem 2.** Suppose that $1 - 1/k < \sigma < 1$ and $\delta = \frac{k}{4}(\sigma - 1 + \frac{1}{k})$. Then

$$\int_0^T |\zeta(\sigma + it)|^{2k} = T \sum_{n=1}^{\infty} d_k(n)^2 n^{-2\sigma} + O(T^{1-\delta}).$$

Also

$$\int_0^T |\zeta(\frac{1}{2} + it)|^4 \ll T(\log T)^4.$$

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- The latter is more interesting for us.
- Consider Lemma 3 when $k = 2$ and $s = \frac{1}{2} + it$.

- **Lemma 3** with $k = 2$. Suppose that $0 < \sigma < 1$, $-1 < \phi < -\frac{1}{2}$, $0 < \psi < \frac{1}{2}$, $j \geq 4$, $x \geq 1$, $y \geq 1$. Then

$$\zeta(\frac{1}{2} + it)^2 = S(t) + S^*(t) - U(t) - V(t) - R(t)$$

where $\lambda_j(x, z) = \frac{x^z j!}{z(z+1)\dots(z+j)}$,

$$S(t) = \sum_{n \leq x} \frac{d(n)}{n^{\frac{1}{2}+it}} (1 - \frac{n}{x})^j, \quad S^*(t) = \gamma(\frac{1}{2} + it)^2 \sum_{n \leq y} \frac{d(n)}{n^{\frac{1}{2}-it}},$$

$$U(t) =$$

$$\frac{1}{2\pi i} \int_{\phi-i\infty}^{\phi+i\infty} \gamma(\frac{1}{2} + it + w)^2 \sum_{n>y} d(n) n^{it+w-\frac{1}{2}} \lambda_j(x, w) dw,$$

$$V(t) =$$

$$\frac{1}{2\pi i} \int_{\psi-i\infty}^{\psi+i\infty} \gamma(\frac{1}{2} + it + w)^2 \sum_{n \leq y} d(n) n^{it+w-\frac{1}{2}} \lambda_j(x, w) dw,$$

$$R(t) = \text{Res}(\zeta(z)^2 \lambda_j(x, z - \frac{1}{2} - it))_{z=1},$$

- By making multiple uses of Cauchy-Schwarz and the heavy convergence of λ_j when, say, $j = 10$, bounding $\zeta(\frac{1}{2} + it)^4$ reduces to bounding

$$\int_T^{2T} |f(t)|^2 dt$$

Mean Value
Theorems

Schur's
Original Proof

Slick Proof of
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Moments of
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- where f is one of

$$S(t) = \sum_{n \leq x} \frac{d(n)}{n^{\frac{1}{2}} + it} (1 - \frac{n}{x})^{10},$$

$$S^*(t) = \gamma(\frac{1}{2} + it)^2 \sum_{n \leq y} \frac{d(n)}{n^{\frac{1}{2}} - it},$$

$$\gamma(\frac{1}{2} + it + \phi + iv)^2 \sum_{n > y} d(n) n^{it + \phi + iv - \frac{1}{2}},$$

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- For convenience we take $\phi = -\frac{3}{4}$, $\psi = \frac{1}{4}$.

- For $S(t)$

$$\int_T^{2T} \left| \sum_{n \leq x} \frac{d(n)}{n^{\frac{1}{2}+it}} (1 - \frac{n}{x})^{10} \right|^2 dt \ll \sum_{n \leq x} d(n)^2 n^{-1} (T + n) \\ \ll T(\log x)^4 + x(\log x)^3.$$

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- $|\gamma(\frac{1}{2} + it)| = 1$, so for $S^*(t)$,

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- It already looks as though we should take $x = y = T$.

- $\phi = -\frac{3}{4}$, $\psi = \frac{1}{4}$, $\theta = \phi$ or ψ .

$$\gamma \left(\frac{1}{2} + it + \phi + iv \right)^2 \sum_{n>y} d(n) n^{it+\phi+iv-\frac{1}{2}}$$

- $\phi = -\frac{3}{4}$, $\psi = \frac{1}{4}$, $\theta = \phi$ or ψ .

$$\gamma\left(\frac{1}{2} + it + \phi + iv\right)^2 \sum_{n>y} d(n) n^{it+\phi+iv-\frac{1}{2}}$$

- $\gamma\left(\frac{1}{2} + \theta + i\alpha\right) \ll (1 + |\alpha|)^{-\theta}$, $\lambda_{10}(x, \theta + iv) \ll \frac{x^\theta}{(1+|v|)^{11}}$.

$$\int_T^{2T} \left| \gamma\left(\frac{1}{2} + it + \phi + iv\right)^2 \sum_{n>y} d(n) n^{it+\phi+iv-\frac{1}{2}} \right|^2 dt$$

$$\ll (1 + |T + v|)^{-4\phi} \sum_{n>y} d(n)^2 n^{2\phi-1} (T + n)$$

$$\ll (1 + |T + v|)^{-4\phi} (T + y) y^{2\phi} (\log y)^3$$

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$$\int_T^{2T} |U(t)|^2 dt \ll (xy/T^2)^{2\phi} (T + y) (\log y)^3.$$

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$$\begin{aligned} & \int_T^{2T} \left| \gamma\left(\frac{1}{2} + it + \psi + iv\right)^2 \sum_{n \leq y} d(n) n^{it+\psi+iv-\frac{1}{2}} \right|^2 dt \\ & \ll (1 + |T + v|)^{-4\psi} \sum_{n \leq y} d(n)^2 n^{2\psi-1} (T + n) \\ & \ll (1 + |T + v|)^{-4\psi} (T + y) y^{2\psi} (\log y)^3 \end{aligned}$$

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$$\ll (1 + |T + v|)^{-4\psi} \sum_{n \leq y} d(n)^2 n^{2\psi-1} (T + n)$$

$$\ll (1 + |T + v|)^{-4\psi} (T + y) y^{2\psi} (\log y)^3$$

-

$$\int_T^{2T} |V(t)|^2 dt \ll (xy/T^2)^{2\psi} (T + y) (\log y)^3.$$