> Robert C. Vaughan

The prime number theorem

Math 571 Chapter 3 The Prime Number Theorem

Robert C. Vaughan

January 13, 2025

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ - つへ⊙

> Robert C. Vaughan

The prime number theorem • I want now to give an overview of the current state of play with regard to the distribution of primes.

▲ロト ▲ 同 ト ▲ 国 ト → 国 - の Q ()

> Robert C. Vaughan

The prime number theorem

- I want now to give an overview of the current state of play with regard to the distribution of primes.
- The bulk of the results I describe are usually proved in detail in Math 568.

▲ロト ▲周ト ▲ヨト ▲ヨト ヨー のくで

> Robert C. Vaughan

The prime number theorem

- I want now to give an overview of the current state of play with regard to the distribution of primes.
- The bulk of the results I describe are usually proved in detail in Math 568.
- Although we will use some of these results we will not need to be familiar with the techniques for establishing them.

> Robert C. Vaughan

The prime number theorem

- I want now to give an overview of the current state of play with regard to the distribution of primes.
- The bulk of the results I describe are usually proved in detail in Math 568.
- Although we will use some of these results we will not need to be familiar with the techniques for establishing them.
- As I mentioned earlier, Gauss had suggested that

$$\mathsf{li}(x) = \int_2^\infty \frac{d\alpha}{\log \alpha}$$

should be a good approximation

$$\pi(x) = \sum_{p \leq x} 1$$

and we saw a table of values out to 10^{22} which illustrated this.

> Robert C. Vaughan

The prime number theorem • The first progress of any kind towards Gauss' conjecture was by Riemann in 1859, when he gave an amazing formula for $\pi(x)$ and made a far reaching conjecture.

> Robert C. Vaughan

The prime number theorem

- The first progress of any kind towards Gauss' conjecture was by Riemann in 1859, when he gave an amazing formula for $\pi(x)$ and made a far reaching conjecture.
- To describe what he discovered in as simple terms as possible I will use the function

$$\psi(x) = \sum_{n \leq x} \Lambda(n)$$

which we introduced in connection with Chebyshev's results.

> Robert C. Vaughan

The prime number theorem

- The first progress of any kind towards Gauss' conjecture was by Riemann in 1859, when he gave an amazing formula for $\pi(x)$ and made a far reaching conjecture.
- To describe what he discovered in as simple terms as possible I will use the function

$$\psi(x) = \sum_{n \leq x} \Lambda(n)$$

which we introduced in connection with Chebyshev's results.

• Another actor in this drama is Riemann's zeta function, defined initially for $\Re s > 1$ by

$$\zeta(s)=\sum_{n=1}^{\infty}n^{-s}.$$

> Robert C. Vaughan

The prime number theorem

- The first progress of any kind towards Gauss' conjecture was by Riemann in 1859, when he gave an amazing formula for $\pi(x)$ and made a far reaching conjecture.
- To describe what he discovered in as simple terms as possible I will use the function

$$\psi(x) = \sum_{n \leq x} \Lambda(n)$$

which we introduced in connection with Chebyshev's results.

• Another actor in this drama is Riemann's zeta function, defined initially for $\Re s > 1$ by

$$\zeta(s)=\sum_{n=1}^{\infty}n^{-s}.$$

= nan

• In fact this had first been studied by Euler.

> Robert C. Vaughan

The prime number theorem The function ζ(s) can be continued to the whole complex plane.

▲□▶ ▲□▶ ▲ 三▶ ▲ 三▶ - 三 - のへぐ

> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example



> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example

$$\sum_{n=0}^{\infty} z^n.$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

• This series only exists when |z| < 1.

> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example

$$\sum_{n=0}^{\infty} z^n.$$

- This series only exists when |z| < 1.
- However it converges to

$$\frac{1}{1-z}$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

in this open disc.

> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example

$$\sum_{n=0}^{\infty} z^n.$$

- This series only exists when |z| < 1.
- However it converges to

$$\frac{1}{1-z}$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

in this open disc.

• This latter expression exists for all $z \neq 1$.

> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example

$$\sum_{n=0}^{\infty} z^n.$$

- This series only exists when |z| < 1.
- However it converges to

$$\frac{1}{1-z}$$

in this open disc.

- This latter expression exists for all $z \neq 1$.
- Moreover this is differentiable when $z \neq 1$.

> Robert C. Vaughan

The prime number theorem

- The function ζ(s) can be continued to the whole complex plane.
- If you are not familiar with this concept let me illustrate it by the example

$$\sum_{n=0}^{\infty} z^n.$$

- This series only exists when |z| < 1.
- However it converges to

$$\frac{1}{1-z}$$

in this open disc.

- This latter expression exists for all $z \neq 1$.
- Moreover this is differentiable when $z \neq 1$.
- Thus this latter expression gives an "analytic continuation" to $\mathbb{C} \setminus \{1\}$.

> Robert C. Vaughan

The prime number theorem It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.

> Robert C. Vaughan

The prime number theorem

- It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.
- The variant for ψ(x) of the formula that Riemann discovered is

$$\psi(x) = x - \sum_{\rho} \frac{x^{
ho}}{
ho} - \frac{1}{2} \log(1 - x^{-2}) - \frac{\zeta'(0)}{\zeta(0)}.$$

◆□ ▶ ◆□ ▶ ◆三 ▶ ◆□ ▶ ◆□ ◆ ●

> Robert C. Vaughan

The prime number theorem

- It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.
- The variant for ψ(x) of the formula that Riemann discovered is

$$\psi(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{1}{2} \log(1 - x^{-2}) - \frac{\zeta'(0)}{\zeta(0)}.$$

▲ロ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ● ○ ○ ○

• Here the sum is over the zeros ρ of $\zeta(s)$ with $0 < \Re \rho < 1$, the "non-trivial zeros".

> Robert C. Vaughan

The prime number theorem

- It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.
- The variant for ψ(x) of the formula that Riemann discovered is

$$\psi(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{1}{2} \log(1 - x^{-2}) - \frac{\zeta'(0)}{\zeta(0)}.$$

▲ロ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ● ○ ○ ○

- Here the sum is over the zeros ρ of $\zeta(s)$ with $0 < \Re \rho < 1$, the "non-trivial zeros".
- The formula holds for all x ≥ 2 which are not the power of a prime.

> Robert C. Vaughan

The prime number theorem

- It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.
- The variant for ψ(x) of the formula that Riemann discovered is

$$\psi(x) = x - \sum_{\rho} \frac{x^{\rho}}{\rho} - \frac{1}{2} \log(1 - x^{-2}) - \frac{\zeta'(0)}{\zeta(0)}.$$

- Here the sum is over the zeros ρ of $\zeta(s)$ with $0 < \Re \rho < 1$, the "non-trivial zeros".
- The formula holds for all *x* ≥ 2 which are not the power of a prime.
- When x = p^k for some p and k the left hand side has to be replaced by

$$\psi(x)-\frac{1}{2}\log p.$$

・ロト ・ 戸 ・ ・ ヨ ・ ・ ヨ ・ うへつ

> Robert C. Vaughan

The prime number theorem • Riemann computed the first few zeros ρ and found that they each had $\Re \rho = \frac{1}{2}$.

▲ロト ▲ 同 ト ▲ 国 ト → 国 - の Q ()

> Robert C. Vaughan

The prime number theorem

- Riemann computed the first few zeros ρ and found that they each had $\Re \rho = \frac{1}{2}$.
- The assertion that $\Re \rho = \frac{1}{2}$ for all the non-trivial ρ is now known as the Riemann Hypothesis (RH).

> Robert C. Vaughan

The prime number theorem

- Riemann computed the first few zeros ρ and found that they each had $\Re \rho = \frac{1}{2}$.
- The assertion that $\Re \rho = \frac{1}{2}$ for all the non-trivial ρ is now known as the Riemann Hypothesis (RH).
- The computations have been extended considerably. Platt and Trudgian (2020) have shown that there are 12, 363, 153, 437, 138 zeros ρ with

 $0 < \Im
ho \leq 3,000,175,332,800$

◆□ ▶ ◆□ ▶ ◆三 ▶ ◆□ ▶ ◆□ ◆ ●

and they all have $\Re \rho = \frac{1}{2}$.

> Robert C. Vaughan

The prime number theorem

- Riemann computed the first few zeros ρ and found that they each had $\Re \rho = \frac{1}{2}$.
- The assertion that $\Re \rho = \frac{1}{2}$ for all the non-trivial ρ is now known as the Riemann Hypothesis (RH).
- The computations have been extended considerably. Platt and Trudgian (2020) have shown that there are 12, 363, 153, 437, 138 zeros ρ with

 $0 < \Im
ho \leq 3,000,175,332,800$

and they all have $\Re \rho = \frac{1}{2}$.

 We now know that for any T > 2 the total number N(T) of ρ with 0 < ℑρ ≤ T is approximately

$$N(T) = \frac{T}{2\pi} \log \frac{T}{2\pi e} + O(\log T)$$

and that at least 40% of them have $\Re \rho = \frac{1}{2}$.

> Robert C. Vaughan

The prime number theorem • We also know that the assertion that for every $heta > rac{1}{2}$ $\psi(x) - x \ll x^{ heta}$ for all $x \ge 2$

◆□▶ ◆□▶ ◆□▶ ◆□▶ □ - つへ⊙

is equivalent to the RH,

> Robert C. Vaughan

The prime number theorem • We also know that the assertion that for every $heta > rac{1}{2}$ $\psi(x) - x \ll x^{ heta}$ for all $x \ge 2$

is equivalent to the RH,

• and that this in turn is equivalent to

$$\pi(x)-\mathsf{li}(x)\ll x^{\theta}.$$

> Robert C. Vaughan

The prime number theorem • In 1896 Hadamard and de la Vallée Poussin proved that

$$\pi(x) \sim \operatorname{li}(x),$$

▲ロト ▲ 同 ト ▲ 国 ト → 国 - の Q ()

so establishing Gauss' assertion.

> Robert C. Vaughan

The prime number theorem • In 1896 Hadamard and de la Vallée Poussin proved that

$$\pi(x) \sim \operatorname{li}(x),$$

so establishing Gauss' assertion.

• More precisely de la Vallée Poussin showed that

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-c\sqrt{\log x}\right)$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

for some constant c.

> Robert C. Vaughan

The prime number theorem • In 1896 Hadamard and de la Vallée Poussin proved that

$$\pi(x) \sim \operatorname{li}(x),$$

so establishing Gauss' assertion.

• More precisely de la Vallée Poussin showed that

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-c\sqrt{\log x}\right)$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

for some constant c.

• A proof of this is usually given in Math 568.

> Robert C. Vaughan

The prime number theorem • The strongest result we now can prove is due to Korobov and I. M. Vinogradov (1958)

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-\frac{c(\log x)^{3/5}}{(\log \log x)^{1/5}}\right)$$

> Robert C. Vaughan

The prime number theorem • The strongest result we now can prove is due to Korobov and I. M. Vinogradov (1958)

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-\frac{c(\log x)^{3/5}}{(\log \log x)^{1/5}}\right)$$

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

 and the best value for c that we have is c = 0.2098 due to Kevin Ford (2002).

> Robert C. Vaughan

The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where χ is a primitive character modulo q,

> Robert C. Vaughan

The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where χ is a primitive character modulo ${\it q},$

• and these functions all have analytic continuations to \mathbb{C} when q > 1 and are differentiable everywhere, even at s = 1.

> Robert C. Vaughan

The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where χ is a primitive character modulo ${\it q}_{\rm r}$

• and these functions all have analytic continuations to \mathbb{C} when q > 1 and are differentiable everywhere, even at s = 1.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

 The values of L(1; χ) play an important rôle in algebraic number theory.

> Robert C. Vaughan

The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where χ is a primitive character modulo ${\it q}_{\rm r}$

- and these functions all have analytic continuations to \mathbb{C} when q > 1 and are differentiable everywhere, even at s = 1.
- The values of L(1; χ) play an important rôle in algebraic number theory.
- Also there is a Riemann Hypothesis for each one (GRH)

> Robert C. Vaughan

The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where χ is a primitive character modulo ${\it q}_{\rm r}$

- and these functions all have analytic continuations to \mathbb{C} when q > 1 and are differentiable everywhere, even at s = 1.
- The values of L(1; χ) play an important rôle in algebraic number theory.
- Also there is a Riemann Hypothesis for each one (GRH)
- and essentially all of the techniques that have been developed for treating ζ(s) can be ported over to L(s; χ).

> Robert C. Vaughan

The prime number theorem The L(s; χ) were introduced by Dirichlet to establish that if (q, a) = 1, then there are infinitely many primes in the residue class a modulo q.

> Robert C. Vaughan

The prime number theorem The L(s; χ) were introduced by Dirichlet to establish that if (q, a) = 1, then there are infinitely many primes in the residue class a modulo q.

▲ロ ▶ ▲周 ▶ ▲ 国 ▶ ▲ 国 ▶ ● の Q @

• An explicit version of this is given by using a basic property of characters.

> Robert C. Vaughan

The prime number theorem

- The L(s; χ) were introduced by Dirichlet to establish that if (q, a) = 1, then there are infinitely many primes in the residue class a modulo q.
- An explicit version of this is given by using a basic property of characters.

• Let

$$\psi(x; q, a) = \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} \Lambda(n)$$

and

$$\psi(x;\chi) = \sum_{n \leq x} \Lambda(n)\chi(n).$$

> Robert C. Vaughan

The prime number theorem

- The L(s; χ) were introduced by Dirichlet to establish that if (q, a) = 1, then there are infinitely many primes in the residue class a modulo q.
- An explicit version of this is given by using a basic property of characters.

• Let

$$\psi(x; q, a) = \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} \Lambda(n)$$

and

$$\psi(x;\chi)=\sum_{n\leq x}\Lambda(n)\chi(n).$$

Then

$$\psi({\sf x};{\sf q},{\sf a}) = rac{1}{\phi({\sf q})}\sum_{\chi \pmod{{\sf q}}} \overline{\chi}({\sf a})\psi({\sf x};\chi).$$

> Robert C. Vaughan

The prime number theorem Now GRH holds for L(s; χ) when χ ≠ χ₀ if and only if for every θ > ¹/₂
 ψ(x; χ) ≪ x^θ

・ロト ・ 同ト ・ ヨト ・ ヨト

3

Sac

holds for all $x \ge 2$.

> Robert C. Vaughan

The prime number theorem Now GRH holds for L(s; χ) when χ ≠ χ₀ if and only if for every θ > ¹/₂

 $\psi(x;\chi) \ll x^{ heta}$

holds for all $x \ge 2$.

Here the current state of play is the Siegel-Walfisz theorem (1936) which states that there is a positive constant c such that if A is any fixed positive number, x ≥ 2, q ≤ (log x)^A and χ is any non-principal character modulo q, then

$$\psi(x;\chi) \ll_A x \exp\left(-c\sqrt{\log x}\right).$$

▲ロ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ▲ □ ▶ ● ○ ○ ○

> Robert C. Vaughan

The prime number theorem Now GRH holds for L(s; χ) when χ ≠ χ₀ if and only if for every θ > ¹/₂

$$\psi(\mathbf{x}; \chi) \ll \mathbf{x}^{\theta}$$

holds for all $x \ge 2$.

Here the current state of play is the Siegel-Walfisz theorem (1936) which states that there is a positive constant c such that if A is any fixed positive number, x ≥ 2, q ≤ (log x)^A and χ is any non-principal character modulo q, then

$$\psi(x;\chi) \ll_{\mathcal{A}} x \exp\left(-c\sqrt{\log x}\right)$$

Applied to ψ(x; q, a) this gives, under the same hypothesis on c, A, x, q that when (q, a) = 1,

$$\psi(x; q, a) - \frac{x}{\phi(q)} \ll_A x \exp\left(-c\sqrt{\log x}\right).$$

▲ロト ▲御 ト ▲ 臣 ト ▲ 臣 ト ● 日 ● 今 Q @

> Robert C. Vaughan

The prime number theorem Now GRH holds for L(s; χ) when χ ≠ χ₀ if and only if for every θ > ¹/₂

$$\psi(\mathbf{x}; \chi) \ll \mathbf{x}^{\theta}$$

holds for all $x \ge 2$.

Here the current state of play is the Siegel-Walfisz theorem (1936) which states that there is a positive constant c such that if A is any fixed positive number, x ≥ 2, q ≤ (log x)^A and χ is any non-principal character modulo q, then

$$\psi(x;\chi) \ll_{\mathcal{A}} x \exp\left(-c\sqrt{\log x}\right)$$

Applied to ψ(x; q, a) this gives, under the same hypothesis on c, A, x, q that when (q, a) = 1,

$$\psi(x; q, a) - \frac{x}{\phi(q)} \ll_A x \exp\left(-c\sqrt{\log x}\right).$$

• In other words, with some constraint on *q* we have the analogue of de la Vallée Poussin's theorem.

> Robert C. Vaughan

The prime number theorem • In 1965 Bombieri and A. I. Vinogradov showed, in some sense, that GRH holds on average, and this is good enough to be used as a replacement for GRH in many applications, and has been behind much of the remarkable progress in analytic number theory in recent years.

> Robert C. Vaughan

The prime number theorem

- In 1965 Bombieri and A. I. Vinogradov showed, in some sense, that GRH holds on average, and this is good enough to be used as a replacement for GRH in many applications, and has been behind much of the remarkable progress in analytic number theory in recent years.
- Equally remarkably we now have proofs of Bombieri-Vinogradov which are elementary apart from the input of the Siegel-Walfisz theorem.