> Robert C. Vaughan

The prime number theorem

## Math 571 Chapter 3 The Prime Number Theorem

Robert C. Vaughan

January 6, 2023

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- The bulk of the results I describe are usually proved in detail in Math 568.
- Although we will use some of these results we will not need to be familiar with the techniques for establishing them.
- As I mentioned earlier, Gauss had suggested that

$$\mathsf{li}(x) = \int_2^\infty \frac{d\alpha}{\log \alpha}$$

should be a good approximation

$$\pi(x) = \sum_{p \leq x} 1$$

and we saw a table of values out to  $10^{22}$  which illustrated this.

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which we introduced in connection with Chebyshev's results.

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• Another actor in this drama is Riemann's zeta function, defined initially for  $\Re s > 1$  by

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• In fact this had first been studied by Euler.

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The prime number theorem  The function ζ(s) can be continued to the whole complex plane.

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- This latter expression exists for all  $z \neq 1$ .
- Moreover this is differentiable when  $z \neq 1$ .
- Thus this latter expression gives an "analytic continuation" to  $\mathbb{C} \setminus \{1\}$ .

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The prime number theorem It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.

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- It turns out in the same way that ζ(s) has an analytic continuation to C \ {1}.
- The variant for ψ(x) of the formula that Riemann discovered is

$$\psi(x) = x - \sum_{\rho} \frac{x^{
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- Here the sum is over the zeros  $\rho$  of  $\zeta(s)$  with  $0 < \Re \rho < 1$ , the "non-trivial zeros".
- The formula holds for all *x* ≥ 2 which are not the power of a prime.
- When x = p<sup>k</sup> for some p and k the left hand side has to be replaced by

$$\psi(x)-\frac{1}{2}\log p.$$

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The prime number theorem • Riemann computed the first few zeros  $\rho$  and found that they each had  $\Re \rho = \frac{1}{2}$ .

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- The computations have been extended considerably. Platt and Trudgian (2020) have shown that there are 12, 363, 153, 437, 138 zeros  $\rho$  with

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 We now know that for any T > 2 the total number N(T) of ρ with 0 < ℑρ ≤ T is approximately</li>

$$N(T) = \frac{T}{2\pi} \log \frac{T}{2\pi e} + O(\log T)$$

and that at least 40% of them have  $\Re \rho = \frac{1}{2}$ .

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The prime number theorem • We also know that the assertion that for every  $heta > rac{1}{2}$   $\psi(x) - x \ll x^{ heta}$  for all  $x \ge 2$ 

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• and that this in turn is equivalent to

$$\pi(x)-\mathsf{li}(x)\ll x^{\theta}.$$

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• More precisely de la Vallée Poussin showed that

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-c\sqrt{\log x}\right)$$

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for some constant c.

• A proof of this is usually given in Math 568.

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The prime number theorem • The strongest result we now can prove is due to Korobov and I. M. Vinogradov (1958)

$$\pi(x) - \operatorname{li}(x) \ll x \exp\left(-\frac{c(\log x)^{3/5}}{(\log \log x)^{1/5}}\right)$$

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 and the best value for c that we have is c = 0.2098 due to Kevin Ford (2002).

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The prime number theorem • One can make similar assertions for

$$L(s;\chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$$

where  $\chi$  is a primitive character modulo q,

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where  $\chi$  is a primitive character modulo  ${\it q},$ 

• and these functions all have analytic continuations to  $\mathbb{C}$  when q > 1 and are differentiable everywhere, even at s = 1.

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- Also there is a Riemann Hypothesis for each one (GRH)

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- and these functions all have analytic continuations to  $\mathbb{C}$  when q > 1 and are differentiable everywhere, even at s = 1.
- The values of L(1; χ) play an important rôle in algebraic number theory.
- Also there is a Riemann Hypothesis for each one (GRH)
- and essentially all of the techniques that have been developed for treating ζ(s) can be ported over to L(s; χ).

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$$\psi(x; q, a) = \sum_{\substack{n \leq x \\ n \equiv a \pmod{q}}} \Lambda(n)$$

and

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Then

$$\psi({\sf x};{\sf q},{\sf a}) = rac{1}{\phi({\sf q})}\sum_{\chi \pmod{{\sf q}}} \overline{\chi}({\sf a})\psi({\sf x};\chi).$$

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The prime number theorem Now GRH holds for L(s; χ) when χ ≠ χ<sub>0</sub> if and only if for every θ > <sup>1</sup>/<sub>2</sub>
 ψ(x; χ) ≪ x<sup>θ</sup>

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 $\psi(x;\chi) \ll x^{ heta}$ 

holds for all  $x \ge 2$ .

Here the current state of play is the Siegel-Walfisz theorem (1936) which states that there is a positive constant c such that if A is any fixed positive number, x ≥ 2, q ≤ (log x)<sup>A</sup> and χ is any non-principal character modulo q, then

$$\psi(x;\chi) \ll_A x \exp\left(-c\sqrt{\log x}\right).$$

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$$\psi(x;\chi) \ll_{\mathcal{A}} x \exp\left(-c\sqrt{\log x}\right)$$

Applied to ψ(x; q, a) this gives, under the same hypothesis on c, A, x, q that when (q, a) = 1,

$$\psi(x; q, a) - \frac{x}{\phi(q)} \ll_A x \exp\left(-c\sqrt{\log x}\right).$$

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• In other words, with some constraint on *q* we have the analogue of de la Vallée Poussin's theorem.

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- Equally remarkably we now have proofs of Bombieri-Vinogradov which are elementary apart from the input of the Siegel-Walfisz theorem.