# Factorization and Primality Testing Chapter 7 Ad Hoc Methods 

Robert C. Vaughan

October 23, 2023

- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.


## Pollard rho

- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.
- The Pollard rho is named for a way of representing the iterative process which looks like the Greek lower case rho, $\rho$.


## Pollard rho

- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.
- The Pollard rho is named for a way of representing the iterative process which looks like the Greek lower case rho, $\rho$.
- Suppose you start from some object $P_{0}$, and successively compute $P_{1}, P_{2}, P_{3}, \ldots$ and that sooner or later you find some pair $j<k$ so that $P_{j}=P_{k}$.
- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.
- The Pollard rho is named for a way of representing the iterative process which looks like the Greek lower case rho, $\rho$.
- Suppose you start from some object $P_{0}$, and successively compute $P_{1}, P_{2}, P_{3}, \ldots$ and that sooner or later you find some pair $j<k$ so that $P_{j}=P_{k}$.
- Then $P_{j+1}=P_{k+1}$ and so on.
- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.
- The Pollard rho is named for a way of representing the iterative process which looks like the Greek lower case rho, $\rho$.
- Suppose you start from some object $P_{0}$, and successively compute $P_{1}, P_{2}, P_{3}, \ldots$ and that sooner or later you find some pair $j<k$ so that $P_{j}=P_{k}$.
- Then $P_{j+1}=P_{k+1}$ and so on.
- That is the sequence just repeats itself with period $k-j$.
- John Pollard, in the 1970s, created a number of different techniques for factoring large integers.
- The Pollard rho is named for a way of representing the iterative process which looks like the Greek lower case rho, $\rho$.
- Suppose you start from some object $P_{0}$, and successively compute $P_{1}, P_{2}, P_{3}, \ldots$ and that sooner or later you find some pair $j<k$ so that $P_{j}=P_{k}$.
- Then $P_{j+1}=P_{k+1}$ and so on.
- That is the sequence just repeats itself with period $k-j$.
- We can represent this as a $\rho$, where $P_{0}$ is at the base of the tail, and $P_{j}$ is where the tail meets the loop.

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

Robert $C$. Vaughan

Pollard rho Pollard p-1

- How this works to factorize $n$ in the case of Pollard rho is that one chooses some polynomial, normally irreducible over $\mathbb{Q}$, like

$$
f(x)=x^{2}+1
$$

- How this works to factorize $n$ in the case of Pollard rho is that one chooses some polynomial, normally irreducible over $\mathbb{Q}$, like

$$
f(x)=x^{2}+1
$$

- pick an $x_{0}$ at random and successively compute

$$
\begin{aligned}
& x_{1}=f\left(x_{0}\right)(\bmod n), \\
& x_{2}=f\left(x_{1}\right)(\bmod n), \\
& x_{3}=f\left(x_{2}\right)(\bmod n),
\end{aligned}
$$

- How this works to factorize $n$ in the case of Pollard rho is that one chooses some polynomial, normally irreducible over $\mathbb{Q}$, like

$$
f(x)=x^{2}+1
$$

- pick an $x_{0}$ at random and successively compute

$$
\begin{aligned}
& x_{1}=f\left(x_{0}\right)(\bmod n), \\
& x_{2}=f\left(x_{1}\right)(\bmod n), \\
& x_{3}=f\left(x_{2}\right)(\bmod n),
\end{aligned}
$$

- Since there are only $n$ residue classes, sooner or later there has to be a repetition. We then check $G C D\left(x_{i}-x_{j}, n\right)$ for each pair $i, j$ and hope to find a non-trivial factor of $n$.
- How this works to factorize $n$ in the case of Pollard rho is that one chooses some polynomial, normally irreducible over $\mathbb{Q}$, like

$$
f(x)=x^{2}+1
$$

- pick an $x_{0}$ at random and successively compute

$$
\begin{aligned}
& x_{1}=f\left(x_{0}\right)(\bmod n), \\
& x_{2}=f\left(x_{1}\right)(\bmod n), \\
& x_{3}=f\left(x_{2}\right)(\bmod n),
\end{aligned}
$$

- Since there are only $n$ residue classes, sooner or later there has to be a repetition. We then check $G C D\left(x_{i}-x_{j}, n\right)$ for each pair $i, j$ and hope to find a non-trivial factor of $n$.
- There is no guarantee of finding one quickly, but sometimes one is found.
- How this works to factorize $n$ in the case of Pollard rho is that one chooses some polynomial, normally irreducible over $\mathbb{Q}$, like

$$
f(x)=x^{2}+1
$$

- pick an $x_{0}$ at random and successively compute

$$
\begin{aligned}
& x_{1}=f\left(x_{0}\right)(\bmod n), \\
& x_{2}=f\left(x_{1}\right)(\bmod n), \\
& x_{3}=f\left(x_{2}\right)(\bmod n),
\end{aligned}
$$

- Since there are only $n$ residue classes, sooner or later there has to be a repetition. We then check $G C D\left(x_{i}-x_{j}, n\right)$ for each pair $i, j$ and hope to find a non-trivial factor of $n$.
- There is no guarantee of finding one quickly, but sometimes one is found.
- The usual procedure is to stop after a certain amount of time and try a different polynomial $f$.


## Factorization and Primality Testing Chapter 7 Ad Hoc Methods <br> Robert C. Vaughan

- What is the theory?

Pollard rho
Pollard p-1

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

Robert C. Vaughan

Pollard rho
Pollard p-1

- What is the theory?
- Suppose $d$ is a proper divisor of $n$.

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

## Robert C.

 VaughanPollard rho
Pollard p-1

- What is the theory?
- Suppose $d$ is a proper divisor of $n$.
- For every $i$ let $y_{i} \equiv x_{i}(\bmod d)$.
- What is the theory?
- Suppose $d$ is a proper divisor of $n$.
- For every $i$ let $y_{i} \equiv x_{i}(\bmod d)$.
- Then $y_{j} \equiv x_{j} \equiv f\left(x_{j-1}\right) \equiv f\left(y_{j-1}\right)(\bmod d)$.
- What is the theory?
- Suppose $d$ is a proper divisor of $n$.
- For every $i$ let $y_{i} \equiv x_{i}(\bmod d)$.
- Then $y_{j} \equiv x_{j} \equiv f\left(x_{j-1}\right) \equiv f\left(y_{j-1}\right)(\bmod d)$.
- Thus sooner or later $y_{j}=y_{k}$ for some $j, k$ with $j \neq k$.
- What is the theory?
- Suppose $d$ is a proper divisor of $n$.
- For every $i$ let $y_{i} \equiv x_{i}(\bmod d)$.
- Then $y_{j} \equiv x_{j} \equiv f\left(x_{j-1}\right) \equiv f\left(y_{j-1}\right)(\bmod d)$.
- Thus sooner or later $y_{j}=y_{k}$ for some $j, k$ with $j \neq k$.
- Then $x_{j} \equiv y_{j} \equiv y_{k} \equiv x_{k}(\bmod d)$. Probably, and hopefully, $x_{j} \neq x_{k}$ so $d \mid G C D\left(x_{j}-x_{k}, n\right)$ and the $G C D$ will differ from $n$.

```
Factorization
and Primality
    Testing
Chapter 7 Ad
Hoc Methods
Robert C.
Vaughan
```

Pollard rho

- How far should we expect to go before finding a solution?
- How far should we expect to go before finding a solution?
- Given a prime $p$ with $p \mid n$ we are seeking different numbers in the same residue class modulo $p$.
- How far should we expect to go before finding a solution?
- Given a prime $p$ with $p \mid n$ we are seeking different numbers in the same residue class modulo $p$.
- If we have $x_{1}, x_{2}, \ldots, x_{s}$ created at random, this is akin to the birthday paradox with a year that has $p$ days and a class size of $s$.
- How far should we expect to go before finding a solution?
- Given a prime $p$ with $p \mid n$ we are seeking different numbers in the same residue class modulo $p$.
- If we have $x_{1}, x_{2}, \ldots, x_{s}$ created at random, this is akin to the birthday paradox with a year that has $p$ days and a class size of $s$.
- Thus we can expect that with $s$ not much bigger than $\sqrt{p}$ we will find a solution.


## Example 1

Let $n=1133$ and $f(x)=x^{2}+1$. Of course $11 \mid 1133$.
Take $x_{0}=2$. Then $x_{1}=5, x_{2}=26, x_{3}=677, x_{4}=598$. Now

$$
\begin{gathered}
\left(x_{1}-x_{0}, n\right)=(3,1133)=1 \\
\left(x_{2}-x_{0}, n\right)=(24,1133)=1 \\
\left(x_{3}-x_{0}, n\right)=(675,1133)=1 \\
\left(x_{4}-x_{0}, n\right)=(596,1133)=1 \\
\left(x_{2}-x_{1}, n\right)=(21,1133)=1 \\
\left(x_{3}-x_{1}, n\right)=(672,1133)=1 \\
\left(x_{4}-x_{1}, n\right)=(593,1133)=1 \\
\left(x_{3}-x_{2}, n\right)=(651,1133)=1 \\
\left(x_{4}-x_{2}, n\right)=(572,1133)=11
\end{gathered}
$$

Not very efficient, but it illustrates the idea.

## Factorization and Primality Testing <br> Chapter 7 Ad <br> - The method can be speeded up as follows by an idea due to Floyd.

 Hoc MethodsRobert C. Vaughan

Pollard rho Pollard p-1

## Factorization and Primality Testing <br> - The method can be speeded up as follows by an idea due to Floyd.

- We want to know when we have reached the loop.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- With this in mind, let $z_{0}=x_{0}$ and then at the $j$-th step compute $x_{j}$ as above and $z_{j+1} \equiv f\left(f\left(z_{j}\right)\right)(\bmod n)$.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- With this in mind, let $z_{0}=x_{0}$ and then at the $j$-th step compute $x_{j}$ as above and $z_{j+1} \equiv f\left(f\left(z_{j}\right)\right)(\bmod n)$.
- Then $z_{j}=x_{2 j}$, so we are computing $x_{j}$ and $x_{2 j}$ simultaneously.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- With this in mind, let $z_{0}=x_{0}$ and then at the $j$-th step compute $x_{j}$ as above and $z_{j+1} \equiv f\left(f\left(z_{j}\right)\right)(\bmod n)$.
- Then $z_{j}=x_{2 j}$, so we are computing $x_{j}$ and $x_{2 j}$ simultaneously.
- If $x_{j}$ and $x_{k}$ with $j<k$ are the smallest pair with $x_{j} \equiv x_{k}$ $(\bmod d)$, let $I=k-j$. Then $x_{i} \equiv x_{i+r l}(\bmod d)$ for every $i \geq j$ and every $r \geq 0$.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- With this in mind, let $z_{0}=x_{0}$ and then at the $j$-th step compute $x_{j}$ as above and $z_{j+1} \equiv f\left(f\left(z_{j}\right)\right)(\bmod n)$.
- Then $z_{j}=x_{2 j}$, so we are computing $x_{j}$ and $x_{2 j}$ simultaneously.
- If $x_{j}$ and $x_{k}$ with $j<k$ are the smallest pair with $x_{j} \equiv x_{k}$ $(\bmod d)$, let $I=k-j$. Then $x_{i} \equiv x_{i+r l}(\bmod d)$ for every $i \geq j$ and every $r \geq 0$.
- Take $i=I\lceil j / l\rceil$ so that $i \geq j$ and $r=\lceil j / I\rceil$.
- The method can be speeded up as follows by an idea due to Floyd.
- We want to know when we have reached the loop.
- Think of this as a race with two runners.
- If one is running twice as fast as the other, the point at which the faster one comes round the loop to overtake the slower one is the place where the tail meets the loop.
- With this in mind, let $z_{0}=x_{0}$ and then at the $j$-th step compute $x_{j}$ as above and $z_{j+1} \equiv f\left(f\left(z_{j}\right)\right)(\bmod n)$.
- Then $z_{j}=x_{2 j}$, so we are computing $x_{j}$ and $x_{2 j}$ simultaneously.
- If $x_{j}$ and $x_{k}$ with $j<k$ are the smallest pair with $x_{j} \equiv x_{k}$ $(\bmod d)$, let $I=k-j$. Then $x_{i} \equiv x_{i+r l}(\bmod d)$ for every $i \geq j$ and every $r \geq 0$.
- Take $i=I\lceil j / I\rceil$ so that $i \geq j$ and $r=\lceil j / I\rceil$.
- Then $r l=i$ and so $x_{i} \equiv x_{2 i}(\bmod d)$. Thus we only need check $G C D\left(x_{2 i}-x_{i}, n\right)$ and this really speeds up the computations. In the previous example.

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

Robert C. Vaughan

- Thus we only need check $G C D\left(x_{2 i}-x_{i}, n\right)$ s.
- Thus we only need check $G C D\left(x_{2 i}-x_{i}, n\right)$ s.
- In the previous example.


## Example 2

Let $n=1133, f(x)=x^{2}+1$ and $x_{0}=2$.
Then we compute $x_{1}=5, x_{2}=26, x_{3}=677, x_{4}=598$.

$$
\begin{gathered}
x_{1}=5, x_{2}=26,\left(x_{2}-x_{1}, n\right)=(21,1133)=1 \\
x_{2}=26, x_{4}=598,\left(x_{4}-x_{2}, n\right)=(572,1133)=11 .
\end{gathered}
$$

That is more like it!

- A less obvious example


## Example 3

Let $n=713, f(x)=x^{2}+1$ and $x_{0}=2$.
Then we compute $x_{1}=5, x_{2}=26, x_{3}=677, x_{4}=584$.

$$
\begin{gathered}
x_{1}=5, x_{2}=26,\left(x_{2}-x_{1}, n\right)=(21,713)=1 \\
x_{2}=26, x_{4}=584\left(x_{4}-x_{2}, n\right)=(558,713)=31
\end{gathered}
$$

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

Robert C. Vaughan

Pollard rho

- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- Then what is the chance that two of them will be in the same residue class modulo $p$ ?
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- Then what is the chance that two of them will be in the same residue class modulo $p$ ?
- Well there are only $p$ residue classes modulo $p$.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- Then what is the chance that two of them will be in the same residue class modulo $p$ ?
- Well there are only $p$ residue classes modulo $p$.
- Wait a minute.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- Then what is the chance that two of them will be in the same residue class modulo $p$ ?
- Well there are only $p$ residue classes modulo $p$.
- Wait a minute.
- This is just an example of the generalised birthday paradox.
- There are a number of more sophisticated variants of this which are designed to speed the algorithm up.
- Generally there is no rigorous proof but it is believed that the run time is normally proportional to $\sqrt{p}$ where $p$ is the smallest prime factor of $n$ and so in the worst case, for a composite number the run time is proportional to $n^{1 / 4}$.
- One way to see that this might give the correct runtime in most cases is to look at it the following way.
- Let $p$ be the smallest prime factor of $n$, and suppose we have computed the first $s$ values of $x_{j}$.
- Then what is the chance that two of them will be in the same residue class modulo $p$ ?
- Well there are only $p$ residue classes modulo $p$.
- Wait a minute.
- This is just an example of the generalised birthday paradox.
- So we can expect that if $s$ is not much bigger than $\sqrt{p}$, then there will be a coincidence!

Factorization and Primality Testing Chapter 7 Ad Hoc Methods

Robert $C$.
Vaughan

Pollard rho
Pollard p-1

- Here we take a fairly large number $K$ and hope that $n$ has a prime factor $p$ such that none of the prime factors of $p-1$ exceed $K$.
- Here we take a fairly large number $K$ and hope that $n$ has a prime factor $p$ such that none of the prime factors of $p-1$ exceed $K$.
- To explain the method we will assume a little more, namely that $p-1 \mid K$ !
- Here we take a fairly large number $K$ and hope that $n$ has a prime factor $p$ such that none of the prime factors of $p-1$ exceed $K$.
- To explain the method we will assume a little more, namely that $p-1 \mid K$ !
- Obviously we do not want to compute and store $K$ !, which will be huge.
- Here we take a fairly large number $K$ and hope that $n$ has a prime factor $p$ such that none of the prime factors of $p-1$ exceed $K$.
- To explain the method we will assume a little more, namely that $p-1 \mid K$ !
- Obviously we do not want to compute and store $K$ !, which will be huge.
- Thus for some a coprime with $n$ we define $x_{1}=a$ and successively compute

$$
x_{k} \equiv x_{k-1}^{k}(\bmod n) \& G C D\left(x_{k}-1, n\right) \quad(k=2,3, \ldots, K)
$$

stopping if the GCD reveals a proper factor of $n$.

- Here we take a fairly large number $K$ and hope that $n$ has a prime factor $p$ such that none of the prime factors of $p-1$ exceed $K$.
- To explain the method we will assume a little more, namely that $p-1 \mid K$ !
- Obviously we do not want to compute and store $K!$, which will be huge.
- Thus for some a coprime with $n$ we define $x_{1}=a$ and successively compute
$x_{k} \equiv x_{k-1}^{k}(\bmod n) \& G C D\left(x_{k}-1, n\right) \quad(k=2,3, \ldots, K)$,
stopping if the GCD reveals a proper factor of $n$.
- Since $n$ is large we can expect that $x_{k} \not \equiv 1(\bmod n)$, but if $p \mid n$ and $p-1 \mid k!$, so that $k!=m(p-1)$ for some $m$, then we have

$$
x_{k} \equiv a^{k!}=\left(a^{p-1}\right)^{m} \equiv 1(\bmod p)
$$

- Consider our old friend 1133.


## Example 4

Let $a=2$. Thus $x_{1}=2, x_{2}=2^{2}=4, x_{3}=4^{3}=64$,

$$
\begin{gathered}
x_{4}=64^{4}=16777216 \equiv 719(\bmod 1133),(718,1133)=1 \\
x_{5}=719^{5}=192,151,797,699,599 \equiv 1101(\bmod 1133) \\
(1100,1133)=11
\end{gathered}
$$

- Consider our old friend 1133.


## Example 4

$$
\begin{aligned}
& \text { Let } a=2 . \text { Thus } x_{1}=2, x_{2}=2^{2}=4, x_{3}=4^{3}=64 \\
& x_{4}=64^{4}=16777216 \equiv 719(\bmod 1133),(718,1133)=1, \\
& x_{5}=719^{5}=192,151,797,699,599 \equiv 1101(\bmod 1133) \\
& (1100,1133)=11
\end{aligned}
$$

- Now look at the less obvious example we considered above


## Example 5

Let $n=713, \& a=2$. Thus $x_{1}=2, x_{2}=2^{2}=4, x_{3}=4^{3}=64$,

$$
\begin{aligned}
& x_{4}=64^{4}=16777216 \equiv 326(\bmod 713),(325,713)=1, x_{5}= \\
& 326^{5}=3,682,035,745,376 \equiv 311(\bmod 713),(310,713)=31
\end{aligned}
$$

```
Factorization
and Primality
    Testing
Chapter }7\mathrm{ Ad
Hoc Methods
Robert C.
Vaughan
Pollard rho
Pollard p-1
- In practice for large numbers the elliptic curve method is faster and the Pollard \(p-1\) has largely disappeared.
```

- In practice for large numbers the elliptic curve method is faster and the Pollard $p-1$ has largely disappeared.
- It uses the group structure of the powers of a modulo $n$.
- In practice for large numbers the elliptic curve method is faster and the Pollard $p-1$ has largely disappeared.
- It uses the group structure of the powers of a modulo $n$.
- The elliptic curve method is based on a similar basic idea but takes advantage of the richer underlying group structure of elliptic curves.

