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Factorization
and Primality
    Testing
    Chapter 4
    Primitive
    Roots and
    RSA
    Robert C.
    Vaughan
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# Factorization and Primality Testing Chapter 4 Primitive Roots and RSA 

Robert C. Vaughan

August 19, 2023

## Primitive Roots

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## Primitive Roots

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- Such an object is called a ring. In this case it is usually denoted by $\mathbb{Z} / m \mathbb{Z}$ or $\mathbb{Z}_{m}$.


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- In this chapter we will look at its multiplicative structure.


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- We have seen that on the residue classes modulo $m$ we can perform many of the standard operations of arithmetic.
- Such an object is called a ring. In this case it is usually denoted by $\mathbb{Z} / m \mathbb{Z}$ or $\mathbb{Z}_{m}$.
- In this chapter we will look at its multiplicative structure.
- In particular we will consider the reduced residue classes modulo $m$.
- An obvious question is what happens if we take powers of a fixed residue $a$ ?


## Definition 1

Given $m \in \mathbb{N}, a \in \mathbb{Z},(a, m)=1$ we define the $\operatorname{order}^{\operatorname{ord}}(\mathrm{m}(a)$ of a modulo $m$ to be the smallest positive integer $t$ such that

$$
a^{t} \equiv 1(\bmod m)
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We may express this by saying that a belongs to the exponent $t$ modulo $m$, or that $t$ is the order of a modulo $m$.

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- Note that by Euler's theorem, $a^{\phi(m)} \equiv 1(\bmod m)$, so that $\operatorname{ord}_{m}(a)$ exists.
- We can do better than that.


## Theorem 2

Suppose that $m \in \mathbb{N},(a, m)=1$ and $n \in \mathbb{N}$ is such that $a^{n} \equiv 1$ $(\bmod m)$. Then $\operatorname{ord}_{m}(a) \mid n$. In particular $\operatorname{ord}_{m}(a) \mid \phi(m)$.

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- But $0 \leq r<t$.
- If we would have $r>0$, then we would contradict the minimality of $t$.
- Hence $r=0$.
- Here is an application we will make use of later.


## Theorem 3

Suppose that $d \mid p-1$. Then the congruence $x^{d} \equiv 1(\bmod p)$ has exactly $d$ solutions.

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- To see this just multiply out the right hand side and observe that the terms telescope.
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- We know from Euler's theorem that there are exactly $p-1$ incongruent roots to the left hand side modulo $p$.
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- We know from Euler's theorem that there are exactly $p-1$ incongruent roots to the left hand side modulo $p$.
- On the other hand, by Lagrange's theorem, the second factor has at most $p-1-d$ such roots, so the first factor must account for at least $d$ of them.
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- On the other hand, by Lagrange's theorem, the second factor has at most $p-1-d$ such roots, so the first factor must account for at least $d$ of them.
- On the other hand, again by Lagrange's theorem, it has at most $d$ roots modulo $p$.

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- One question one can ask is, given any $d \mid \phi(m)$, are there elements of order $d$ ?
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a^{u} \equiv a^{v} \quad(\bmod m)
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with $1 \leq u<v \leq \phi(m)$,

- and then

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a^{v-u} \equiv 1(\bmod m)
$$

and $1 \leq v-u<\phi(m)$ contradicting the assumption that $\operatorname{ord}_{m}(a)=\phi(m)$.

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Binomial
Congruences
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## Primitive

 Roots- Consider


## Example 4

$$
m=7
$$

- Consider


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- $a=1, \operatorname{ord}_{7}(1)=1$.

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| :--- |
| and Primality |
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| Chapter 4 4 |
| Primitive |
| Roots and |
| RSA |
| Robert C. |
| Vaughan |
| Primitive |
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- $a=1, \operatorname{ord}_{7}(1)=1$.
- $a=2,2^{2}=4,2^{3}=8 \equiv 1$. $\operatorname{ord}_{7}(2)=3$.

Robert C. Vaughan

- Consider


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- $a=1, \operatorname{ord}_{7}(1)=1$.
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- $a=4,4^{2} \equiv 2,4^{3} \equiv 2^{6} \equiv 1, \operatorname{ord}_{7}(4)=3$.
- $a=5,5^{2}=25 \equiv 4,5^{3} \equiv 20 \equiv 6,5^{4} \equiv 30 \equiv 2$, $5^{5} \equiv 10 \equiv 3,5^{6} \equiv 1, \operatorname{ord}_{7}(5)=6$.
- Consider


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- $a=6,6^{2}=36 \equiv 1, \operatorname{ord}_{7}(6)=2$.
- Thus there is one element of order 1 , one element of order 2 , two of order 3 and two of order 6 .
- Consider


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- $a=6,6^{2}=36 \equiv 1, \operatorname{ord}_{7}(6)=2$.
- Thus there is one element of order 1 , one element of order 2 , two of order 3 and two of order 6 .
- Is it a fluke that for each $d \mid 6=\phi(7)$ the number of elements of order $d$ is $\phi(d)$ ?
- We now come to an important concept


## Definition 5

Suppose that $m \in \mathbb{N}$ and $(a, m)=1$. If $\operatorname{ord}_{m}(a)=\phi(m)$ then we say that $a$ is a primitive root modulo $m$.

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Suppose that $m \in \mathbb{N}$ and $(a, m)=1$. If $\operatorname{ord}_{m}(a)=\phi(m)$ then we say that $a$ is a primitive root modulo $m$.

- We know that we do not always have primitive roots.
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- For example, any number $a$ with $(a, 8)=1$ is odd and so $a^{2} \equiv 1 \bmod 8$, whereas $\phi(8)=4$.
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- There are primitive roots to some moduli. For example, modulo 7 the powers of 3 are successively $3,2,6,4,5,1$.
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- There are primitive roots to some moduli. For example, modulo 7 the powers of 3 are successively $3,2,6,4,5,1$.
- Gauss determined precisely which moduli possess primitive roots. The first step is the case of prime modulus.


## Theorem 6 (Gauss)

Suppose that $p$ is a prime number. Let $d \mid p-1$ then there are $\phi(d)$ residue classes a with $\operatorname{ord}_{p}(a)=d$. In particular there are $\phi(p-1)=\phi(\phi(p))$ primitive roots modulo $p$.

- Proof of Gauss' Theorem We have seen that the order of every reduced residue class modulo $p$ divides $p-1$.
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- For a given $d \mid p-1$ let $\psi(d)$ denote the number of reduced residues of order $d$ modulo $p$.
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- Let $1=d_{1}<d_{2}<\ldots<d_{k}=p-1$ be the divisors of $p-1$ in order.
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- Let $1=d_{1}<d_{2}<\ldots<d_{k}=p-1$ be the divisors of $p-1$ in order.
- We have a relationship $\sum_{r \mid d_{j}} \psi(r)=d_{j}$ for each $j=1,2, \ldots$ and, of course, the sum is over a subset of the divisors of $p-1$. I claim that this determines $\psi\left(d_{j}\right)$ uniquely.
- We have a relationship

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for each $j=1,2, \ldots$ where the sum is over the divisors of $d_{j}$ and so is over a subset of the divisors of $p-1$.

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- We can prove this by observing that if $N$ is the number of positive divisors of $p-1$, then we have $N$ linear equations in the $N$ unknowns $\psi(r)$ and we can we can write this in matrix notation

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- Moreover $\mathcal{U}$ is an upper triangular matrix with non-zero entries on the diagonal and so is invertible.
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\psi \mathcal{U}=\mathbf{d}
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- Moreover $\mathcal{U}$ is an upper triangular matrix with non-zero entries on the diagonal and so is invertible.
- Hence the $\psi\left(d_{j}\right)$ are uniquely determined.
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Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

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## Primitive

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We have primitive roots modulo $m$ when $m=2, m=4$, $m=p^{k}$ and $m=2 p^{k}$ with $p$ an odd prime and in no other cases.

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Suppose that $k \geq 3$. Then the numbers $(-1)^{u} 5^{v}$ with $u=0,1$ and $0 \leq v<2^{k-2}$ form a set of reduced residues modulo $2^{k}$

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- We will not need these results but I will include the proofs in the class text for anyone interested.



## Binomial Congruences

- As an application of primitive roots we can say something when $p$ is odd about the solution of congruences of the form

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x^{k} \equiv a(\bmod p)
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## Binomial Congruences

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Factorization
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## Primitive

``` Roots
Binomial Congruences and Discrete Logarithms
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## Discrete Logarithms

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Robert C. Vaughan

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## Theorem 10

Suppose $p$ is an odd prime. When $p \nmid a$ the congruence $x^{k} \equiv a$ $(\bmod p)$ has 0 or $(k, p-1)$ solutions, and the number of reduced residues a modulo $p$ for which it is soluble is $\frac{p-1}{(k, p-1)}$.

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- The above theorem suggests the following.


## Definition 11

Given a primitive root $g$ and a reduced residue class a modulo $m$ we define the discrete logarithm $\operatorname{dlog}_{g}(a)$, or index ind $g(a)$ to be that unique residue class / modulo $\phi(m)$ such that $g^{\prime} \equiv a$ $(\bmod m)$

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- The notation $\operatorname{ind}_{g}(x)$ is more commonly used, but $\operatorname{dlog}_{g}(x)$ seems more natural.


## Primitive

 Roots- It is useful to work through a detailed example.


## Example 12

Find a primitive root modulo 11 and construct a table of discrete logarithms.

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- First we try 2. The divisors of $11-1=10$ are $1,2,5,10$ and $2^{1}=2 \not \equiv 1(\bmod 11), 2^{2}=4 \not \equiv 1(\bmod 11)$, $2^{5}=32 \equiv 10 \not \equiv 1(\bmod 11)$, so 2 is a primitive root.
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- We can use this to solve congruences.

Factorization and Primality Testing Chapter 4 Primitive
Roots and RSA

Robert C. Vaughan

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Roots
Binomial
Congruences and Discrete Logarithms

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Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

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Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

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Congruences and Discrete Logarithms


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| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $y=\operatorname{dlog}_{2}(x)$ | 10 | 1 | 8 | 2 | 4 | 9 | 7 | 3 | 6 | 5 |

- We can use this to solve,


## Example 13

if possible, the congruences,

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x^{3} & \equiv 6(\bmod 11) \\
x^{5} & \equiv 9(\bmod 11) \\
x^{65} & \equiv 10(\bmod 11)
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- In the first put $x \equiv 2^{y}(\bmod 11)$, so that $x^{3}=2^{3 y}$ and we see from the second table that $6 \equiv 2^{9}(\bmod 11)$.
- We need $3 y \equiv 9(\bmod 10)$.

Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

Robert C. Vaughan

Roots
Binomial
Congruences and Discrete Logarithms

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| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $x \equiv 2^{y}$ | 2 | 4 | 8 | 5 | 10 | 9 | 7 | 3 | 6 | 1 |

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- Going to the first table we find that $x \equiv 8(\bmod 11)$.

| Factorization and Primality | $y$ | 2 | 3 | 4 | 5 | 6 | 7 |  |  | 10 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $x \equiv 2^{y} \quad 2$ | 4 | 8 | 5 | 10 | 9 |  | 3 | 6 |  |  |
| Chapter 4 Primitive | $x$ | 1 | 2 | 3 | 4 | 5 | 6 |  | 8 | 9 | 10 |
| Roots and RSA | $y=\operatorname{dlog}_{2}(x)$ | 10 | 1 | 8 | 2 | 4 | 9 |  | 3 | 6 | 5 |
| Robert C Vaughan |  |  |  | 6 | mod |  |  |  |  |  |  |
| Primitive <br> Roots |  |  |  | 9 |  |  |  |  |  |  |  |
| Binomial <br> Congruences |  |  | = | 10 | (mod |  |  |  |  |  |  |


| $y$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| $x \equiv 2^{y}$ | 2 | 4 | 8 | 5 | 10 | 9 | 7 | 3 | 6 | 1 |
| $x$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
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| $x^{3} \equiv 6(\bmod 11)$ |  |  |  |  |  |  |  |  |  |  |
|  | $x^{5} \equiv 9(\bmod 11)$, |  |  |  |  |  |  |  |  |  |
|  | $x^{65} \equiv 10(\bmod 11)$ |  |  |  |  |  |  |  |  |  |

- For the second congruence we find that $5 y \equiv 6(\bmod 10)$ and now we see that this has no solutions because $(5,10)=5 \nmid 6$.

Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

Robert C. Vaughan

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- In the third case we have $65 y \equiv 5(\bmod 10)$ and this is equivalent to $13 y \equiv 1(\bmod 2)$ and this has one solution modulo $y \equiv 1(\bmod 2)$, and so 5 solutions modulo 10 given by $y \equiv 1,3,5,7$ or 9 modulo 10 .

Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

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- Hence the original congruence has five solutions given by

$$
x \equiv 2,8,10,7,6(\bmod 11)
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- The numbers $n$ and $e$ can be in the public domain.


## Factorization and Primality Testing Chapter 4 Primitive Roots and RSA <br> Robert C. Vaughan

- The crucial question is, given $n$ and $d$, the solubility of $d e \equiv 1(\bmod \phi(n))$
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Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

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- In other words, knowing $\phi(n)$ is equivalent to factoring $n$.

