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Primitive Roots

Binomial Congruences and Discrete Logarithms

RSA

Factorization and Primality Testing Chapter 4 Primitive Roots and RSA

Robert C. Vaughan

August 19, 2023

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• We have seen that on the residue classes modulo *m* we can perform many of the standard operations of arithmetic.

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- Such an object is called a ring. In this case it is usually denoted by Z/mZ or Z_m.

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- Such an object is called a ring. In this case it is usually denoted by Z/mZ or Z_m.
- In this chapter we will look at its multiplicative structure.

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- We have seen that on the residue classes modulo *m* we can perform many of the standard operations of arithmetic.
- Such an object is called a ring. In this case it is usually denoted by Z/mZ or Zm.
- In this chapter we will look at its multiplicative structure.
- In particular we will consider the reduced residue classes modulo *m*.

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• An obvious question is what happens if we take powers of a fixed residue *a*?

Definition 1

Given $m \in \mathbb{N}$, $a \in \mathbb{Z}$, (a, m) = 1 we define the order $\operatorname{ord}_m(a)$ of a modulo m to be the smallest positive integer t such that

$$a^t \equiv 1 \pmod{m}$$
.

We may express this by saying that a belongs to the exponent t modulo m, or that t is the order of a modulo m.

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Note that by Euler's theorem, a^{φ(m)} ≡ 1 (mod m), so that ord_m(a) exists.

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• We can do better than that.

Theorem 2

Suppose that $m \in \mathbb{N}$, (a, m) = 1 and $n \in \mathbb{N}$ is such that $a^n \equiv 1 \pmod{m}$. Then $\operatorname{ord}_m(a)|n$. In particular $\operatorname{ord}_m(a)|\phi(m)$.

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• **Proof.** For concision let $t = \operatorname{ord}_m(a)$.

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- Since t is minimal we have $t \leq n$.

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$$a^r \equiv (a^t)^q a^r = a^{qt+r} = a^n \equiv 1 \pmod{m}$$

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- But $0 \le r < t$.
- If we would have r > 0, then we would contradict the minimality of t.
- Hence *r* = 0.

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• Here is an application we will make use of later.

Theorem 3

Suppose that d|p-1. Then the congruence $x^d \equiv 1 \pmod{p}$ has exactly d solutions.

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$$x^{p-1} - 1 = (x^d - 1)(x^{p-1-d} + x^{d-p-2d} + \dots + x^d + 1).$$

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- To see this just multiply out the right hand side and observe that the terms telescope.
- We know from Euler's theorem that there are exactly p-1 incongruent roots to the left hand side modulo p.
- On the other hand, by Lagrange's theorem, the second factor has at most p - 1 - d such roots, so the first factor must account for at least d of them.

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- On the other hand, by Lagrange's theorem, the second factor has at most p - 1 - d such roots, so the first factor must account for at least d of them.
- On the other hand, again by Lagrange's theorem, it has at most d roots modulo p.

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 We have already seen that, when (a, m) = 1, a has order modulo m which divides φ(m).

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- We have already seen that, when (a, m) = 1, a has order modulo m which divides φ(m).
- One question one can ask is, given any d|φ(m), are there elements of order d?

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- In the special case $d = \phi(m)$ this would mean that

$$a, a^2, \ldots, a^{\phi(m)}$$

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• because otherwise we would have

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with $1 \le u < v \le \phi(m)$,

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• because otherwise we would have

$$a^u \equiv a^v \pmod{m}$$

with $1 \leq u < v \leq \phi(m)$,

and then

$$a^{v-u} \equiv 1 \pmod{m}$$

and $1 \le v - u < \phi(m)$ contradicting the assumption that ord_m(a) = $\phi(m)$.

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• Consider

Example 4 m = 7.

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Example 4

m = 7.

• a = 1, $ord_7(1) = 1$.

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m = 7.

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•
$$a = 2$$
, $2^2 = 4$, $2^3 = 8 \equiv 1$. $\operatorname{ord}_7(2) = 3$.

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m = 7.

• a = 1, $\operatorname{ord}_7(1) = 1$.

- a = 2, $2^2 = 4$, $2^3 = 8 \equiv 1$. $\operatorname{ord}_7(2) = 3$.
- a = 3, $3^2 = 9 \equiv 2$, $3^3 = 27 \equiv 6$, $3^4 \equiv 18 \equiv 4$, $3^5 \equiv 12 \equiv 5$, $3^6 \equiv 1$, $ord_7(3) = 6$.

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• a = 4, $4^2 \equiv 2$, $4^3 \equiv 2^6 \equiv 1$, $ord_7(4) = 3$.

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- a = 4, $4^2 \equiv 2$, $4^3 \equiv 2^6 \equiv 1$, $\operatorname{ord}_7(4) = 3$.
- $a = 5, 5^2 = 25 \equiv 4, 5^3 \equiv 20 \equiv 6, 5^4 \equiv 30 \equiv 2, 5^5 \equiv 10 \equiv 3, 5^6 \equiv 1, \text{ ord}_7(5) = 6.$

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m = 7.

a = 1, ord₇(1) = 1.
a = 2, 2² = 4, 2³ = 8 ≡ 1. ord₇(2) = 3.
a = 3, 3² = 9 ≡ 2, 3³ = 27 ≡ 6, 3⁴ ≡ 18 ≡ 4, 3⁵ ≡ 12 ≡ 5, 3⁶ ≡ 1, ord₇(3) = 6.

- a = 4, $4^2 \equiv 2$, $4^3 \equiv 2^6 \equiv 1$, $\operatorname{ord}_7(4) = 3$.
- $a = 5, 5^2 = 25 \equiv 4, 5^3 \equiv 20 \equiv 6, 5^4 \equiv 30 \equiv 2, 5^5 \equiv 10 \equiv 3, 5^6 \equiv 1, \operatorname{ord}_7(5) = 6.$

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• a = 6, $6^2 = 36 \equiv 1$, $ord_7(6) = 2$.

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- a = 6, $6^2 = 36 \equiv 1$, $ord_7(6) = 2$.
- Thus there is one element of order 1, one element of order 2, two of order 3 and two of order 6.

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 Is it a fluke that for each d|6 = φ(7) the number of elements of order d is φ(d)?

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• We now come to an important concept

Definition 5

Suppose that $m \in \mathbb{N}$ and (a, m) = 1. If $\operatorname{ord}_m(a) = \phi(m)$ then we say that a is a primitive root modulo m.

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- We know that we do not always have primitive roots.
- For example, any number *a* with (a, 8) = 1 is odd and so $a^2 \equiv 1 \mod 8$, whereas $\phi(8) = 4$.
- There are primitive roots to some moduli. For example, modulo 7 the powers of 3 are successively 3, 2, 6, 4, 5, 1.

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- We know that we do not always have primitive roots.
- For example, any number *a* with (a, 8) = 1 is odd and so $a^2 \equiv 1 \mod 8$, whereas $\phi(8) = 4$.
- There are primitive roots to some moduli. For example, modulo 7 the powers of 3 are successively 3, 2, 6, 4, 5, 1.
- Gauss determined precisely which moduli possess primitive roots. The first step is the case of prime modulus.

Theorem 6 (Gauss)

Suppose that p is a prime number. Let d|p-1 then there are $\phi(d)$ residue classes a with $\operatorname{ord}_p(a) = d$. In particular there are $\phi(p-1) = \phi(\phi(p))$ primitive roots modulo p.

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• **Proof of Gauss' Theorem** We have seen that the order of every reduced residue class modulo *p* divides *p* - 1.

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 For a given d|p − 1 let ψ(d) denote the number of reduced residues of order d modulo p.

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- Thus every solution has order dividing *d*.
- Also each residue with order dividing *d* is a solution.

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- **Proof of Gauss' Theorem** We have seen that the order of every reduced residue class modulo *p* divides *p* 1.
- For a given d|p − 1 let ψ(d) denote the number of reduced residues of order d modulo p.
- The congruence $x^d \equiv 1 \pmod{p}$ has exactly d solutions.

- Thus every solution has order dividing *d*.
- Also each residue with order dividing *d* is a solution.
- Thus for each d|p-1 we have $\sum_{r|d} \psi(r) = d$.

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- Let $1 = d_1 < d_2 < \ldots < d_k = p 1$ be the divisors of p 1 in order.
- We have a relationship $\sum_{r|d_j} \psi(r) = d_j$ for each j = 1, 2, ...and, of course, the sum is over a subset of the divisors of p - 1. I claim that this determines $\psi(d_j)$ uniquely.

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Hence

$$\psi(d_{j+1}) = d_{j+1} - \sum_{\substack{r \mid d_{j+1} \\ r < d_{j+1}}} \psi(r)$$

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and every term on the right hand side is already determined.

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 To get a better insight here is the proof in the special case p = 13

Example 7

Here is the proof when p = 13, so we are concerned with the divisors of 12.

 $(\psi(1),\psi(2),\psi(3),\psi(4),\psi(6),\psi(12))$

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$
$$= (1, 2, 3, 4, 6, 12)$$

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• How about higher powers of odd primes?

Theorem 8 (Gauss)

We have primitive roots modulo m when m = 2, m = 4, $m = p^k$ and $m = 2p^k$ with p an odd prime and in no other cases.

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Suppose that $k \ge 3$. Then the numbers $(-1)^u 5^v$ with u = 0, 1and $0 \le v < 2^{k-2}$ form a set of reduced residues modulo 2^k

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• We will not need these results but I will include the proofs in the class text for anyone interested.

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$$x^k \equiv a \pmod{p}.$$

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Binomial Congruences

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Hence it follows that

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Theorem 10

Suppose p is an odd prime. When $p \nmid a$ the congruence $x^k \equiv a \pmod{p}$ has 0 or (k, p - 1) solutions, and the number of reduced residues a modulo p for which it is soluble is $\frac{p-1}{(k, p-1)}$.

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• The above theorem suggests the following.

Definition 11

Given a primitive root g and a reduced residue class a modulo m we define the discrete logarithm $\operatorname{dlog}_g(a)$, or index $\operatorname{ind}_g(a)$ to be that unique residue class l modulo $\phi(m)$ such that $g^l \equiv a \pmod{m}$

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 The notation ind_g(x) is more commonly used, but dlog_g(x) seems more natural.

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• It is useful to work through a detailed example.

Example 12

Find a primitive root modulo 11 and construct a table of discrete logarithms.

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Example 12

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• First we try 2. The divisors of 11 - 1 = 10 are 1, 2, 5, 10 and $2^1 = 2 \not\equiv 1 \pmod{11}$, $2^2 = 4 \not\equiv 1 \pmod{11}$, $2^5 = 32 \equiv 10 \not\equiv 1 \pmod{11}$, so 2 is a primitive root.

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Find a primitive root modulo 11 and construct a table of discrete logarithms.

- First we try 2. The divisors of 11 1 = 10 are 1, 2, 5, 10 and $2^1 = 2 \not\equiv 1 \pmod{11}$, $2^2 = 4 \not\equiv 1 \pmod{11}$, $2^5 = 32 \equiv 10 \not\equiv 1 \pmod{11}$, so 2 is a primitive root.
- Now we construct a table of powers of 2 modulo 11

у	1	2	3	4	5	6	7	8	9	10
$x \equiv 2^y$	2	4	8	5	10	9	7	3	6	1

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						-						
	y	1	2	3	4	5	6	7	8	9	1()
	$x \equiv 2^y$	2	4	8	5	10	9	7	3	6	1	
Т	hen we cor	nstru	ct th	e "i	nver	'se''	table	е				
		X	1	2	3	4	5	6	7	8	9	10
	$y = dlog_2$	(x)	10	1	8	2	4	9	7	3	6	5

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Ther	n we cons	struc	ct th	e "i	nver	rse" t	table	e				
		X	1	2	3	4	5	6	7	8	9	10
y	$= dlog_2(2)$	x)	10	1	8	2	4	9	7	3	6	5

Note that while x is a residue modulo p (here p = 11), the y are residues modulo p - 1 (here 10).

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		1			,, ,					

3 6 7 8 9 10 X 10 1 8 $y = d\log_2(x)$ 2 4 9 7 3 6 5

- Note that while x is a residue modulo p (here p = 11), the y are residues modulo p 1 (here 10).
- y is the order, or exponent, to which 2 has to be raised to give x modulo p. In other words x ≡ g^{dlog_g(x)} (mod p).

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$x \equiv 2^y$ 2 4 8 5 10 9 7 3 6 1	У	1	2	3	4	5	6	7	8	9	10
	$x \equiv 2^y$	2	4	8	5	10	9	7	3	6	1

Then we construct the "inverse" table

X	1	2	3	4	5	6	7	8	9	10
$y = dlog_2(x)$	10	1	8	2	4	9	7	3	6	5

- Note that while x is a residue modulo p (here p = 11), the y are residues modulo p 1 (here 10).
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• We can use this to solve congruences.

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$$\frac{y \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10}{x \equiv 2^{y} \ 2 \ 4 \ 8 \ 5 \ 10 \ 9 \ 7 \ 3 \ 6 \ 1}$$

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Example 13

if possible, the congruences,

$$x^{3} \equiv 6 \pmod{11},$$

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• We need $3y \equiv 9 \pmod{10}$.

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$$x^{3} \equiv 6 \pmod{11},$$

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In the first put x ≡ 2^y (mod 11), so that x³ = 2^{3y} and we see from the second table that 6 ≡ 2⁹ (mod 11).

- We need $3y \equiv 9 \pmod{10}$.
- This has the unique solution $y \equiv 3 \pmod{10}$.

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$$\frac{y \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10}{x \equiv 2^{y} \ 2 \ 4 \ 8 \ 5 \ 10 \ 9 \ 7 \ 3 \ 6 \ 1}$$

$$\frac{x \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10}{y = dlog_{2}(x) \ 10 \ 1 \ 8 \ 2 \ 4 \ 9 \ 7 \ 3 \ 6 \ 5}$$

• We can use this to solve,

Example 13

if possible, the congruences,

$$x^{3} \equiv 6 \pmod{11},$$

 $x^{5} \equiv 9 \pmod{11},$
 $x^{65} \equiv 10 \pmod{11}$

- In the first put x ≡ 2^y (mod 11), so that x³ = 2^{3y} and we see from the second table that 6 ≡ 2⁹ (mod 11).
- We need $3y \equiv 9 \pmod{10}$.
- This has the unique solution $y \equiv 3 \pmod{10}$.
- Going to the first table we find that $x \equiv 8 \pmod{11}$.

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•
$$y \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10$$

 $x \equiv 2^{y} \ 2 \ 4 \ 8 \ 5 \ 10 \ 9 \ 7 \ 3 \ 6 \ 1$
 $x \ 1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8 \ 9 \ 10$
 $y = dlog_{2}(x) \ 10 \ 1 \ 8 \ 2 \ 4 \ 9 \ 7 \ 3 \ 6 \ 5$

$$\begin{aligned} x^3 &\equiv 6 \pmod{11}, \\ x^5 &\equiv 9 \pmod{11}, \\ x^{65} &\equiv 10 \pmod{11} \end{aligned}$$

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$x^3 \equiv 6 \pmod{11},$												
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• For the second congruence we find that $5y \equiv 6 \pmod{10}$ and now we see that this has no solutions because $(5, 10) = 5 \nmid 6$.

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- For the second congruence we find that 5y ≡ 6 (mod 10) and now we see that this has no solutions because (5, 10) = 5 ∤ 6.
- In the third case we have $65y \equiv 5 \pmod{10}$ and this is equivalent to $13y \equiv 1 \pmod{2}$ and this has one solution modulo $y \equiv 1 \pmod{2}$, and so 5 solutions modulo 10 given by $y \equiv 1, 3, 5, 7$ or 9 modulo 10.

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- Hence the original congruence has five solutions given by

$$x \equiv 2, 8, 10, 7, 6 \pmod{11}$$

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• Rivest, Shamir and Adleman in 1978 rediscovered an idea which had already been described internally at GCHQ by Cocks in 1973 and then shared with NSA.

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- The recipient then computes $E^d \pmod{n}$.
- Then $E^d \equiv (M^e)^d = M^{de} \equiv M \pmod{n}$, since $\phi(n)|de-1$, and the recipient recovers the message.

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- The sender has to know only *n* and *e*.
- The recipient only has to know *n* and *d*.
- The level of security depends only on the ease with which one can find *d* knowing *n* and *e*.

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- The recipient only has to know *n* and *d*.
- The level of security depends only on the ease with which one can find *d* knowing *n* and *e*.
- The numbers *n* and *e* can be in the public domain.

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• The crucial question is, given n and d, the solubility of

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and this in turn requires the value of $\phi(n)$.

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RSA

• The crucial question is, given n and d, the solubility of

$$de \equiv 1 \pmod{\phi(n)}$$

and this in turn requires the value of $\phi(n)$. • Suppose that *n* is the product of two primes

n = pq.

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• If *n* can be factored then we have $\phi(n) = (p-1)(q-1)$.

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- If n can be factored then we have $\phi(n) = (p-1)(q-1)$.
- But this factorization is a known hard problem, especially when the primes are roughly of the same size.

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• Suppose that *n* is the product of two primes

n = pq.

- If *n* can be factored then we have $\phi(n) = (p-1)(q-1)$.
- But this factorization is a known hard problem, especially when the primes are roughly of the same size.
- Of course if the value of φ(n) can be discovered not only is the message easily broken,
- but *n* is easily factored since one has

$$p+q=pq+1-\phi(n)=n+1-\phi(n),$$

$$pq = n$$

and once can substitute for q and then solve the quadratic equation in p.

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$$pq = n$$

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• In other words, knowing $\phi(n)$ is equivalent to factoring $n_{2,2,2}$