> Robert C. Vaughan

The Archmidea Property

The Principle of Induction

Introduction to Analysis The Natural Numbers

Robert C. Vaughan

February 12, 2024

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The Archmidean Property

The Principle of Induction We have seen that the natural numbers N are embedded in Z ("n" is the equivalence class A(n+1,1)) and that is embedded in Q which in turn is embedded in ℝ.

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The Principle of Induction

- We have seen that the natural numbers N are embedded in Z ("n" is the equivalence class A(n + 1, 1)) and that is embedded in Q which in turn is embedded in ℝ.
- We now see what impact the Continuum property has on $\mathbb N.$

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Theorem 1 (Archimedean Property)

The set \mathbb{N} is unbounded above.

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Theorem 1 (Archimedean Property)

The set \mathbb{N} is unbounded above.

Proof. We argue by contradiction. Suppose N is bounded above. Since 1 ∈ N we have N ≠ Ø. Thus B = sup N exists. Then B - 1 is not an upper bound of N. Hence there is an element n of N such that B - 1 < n. But n + 1 ∈ N and B < n + 1 gives a contradiction. □

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Theorem 1 (Archimedean Property)

The set \mathbb{N} is unbounded above.

- *Proof.* We argue by contradiction. Suppose \mathbb{N} is bounded above. Since $1 \in \mathbb{N}$ we have $\mathbb{N} \neq \emptyset$. Thus $B = \sup \mathbb{N}$ exists. Then B 1 is not an upper bound of \mathbb{N} . Hence there is an element n of \mathbb{N} such that B 1 < n. But $n + 1 \in \mathbb{N}$ and B < n + 1 gives a contradiction. \Box
- It is perhaps surprising that the continuum property makes a crucial contribution.

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- It is perhaps surprising that the continuum property makes a crucial contribution.
- It is immediate from the fact that 0 < 1 and the principle of induction that \mathbb{N} is bounded below by 1.

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The Principle of Induction • There are many ways we use this.

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- There are many ways we use this.
- Example 3.1 Let

$$\mathcal{A}=\left\{\frac{1}{n}:n\in\mathbb{N}\right\}.$$

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Then \mathcal{A} is bounded, and $\inf \mathcal{A} = 0$, $\sup \mathcal{A} = 1$.

• *Proof.* We have $1/1 = 1 \in \mathcal{A}$, so $\mathcal{A} \neq \emptyset$.

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- *Proof.* We have $1/1 = 1 \in \mathcal{A}$, so $\mathcal{A} \neq \emptyset$.
- Since $n \ge 1$ for $n \in \mathbb{N}$ we have $1/n \le 1$.

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- *Proof.* We have $1/1 = 1 \in \mathcal{A}$, so $\mathcal{A} \neq \emptyset$.
- Since $n \ge 1$ for $n \in \mathbb{N}$ we have $1/n \le 1$.
- Hence \mathcal{A} is bounded above by 1 and as $1 \in \mathcal{A}$ there cannot be any smaller upper bound.

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- Thus sup $\mathcal{A} = 1$.

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- There are many ways we use this.
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$$\mathcal{A} = \left\{ \frac{1}{n} : n \in \mathbb{N} \right\}.$$

- *Proof.* We have $1/1 = 1 \in \mathcal{A}$, so $\mathcal{A} \neq \emptyset$.
- Since $n \ge 1$ for $n \in \mathbb{N}$ we have $1/n \le 1$.
- Hence \mathcal{A} is bounded above by 1 and as $1 \in \mathcal{A}$ there cannot be any smaller upper bound.
- Thus sup $\mathcal{A} = 1$.
- We also have n ≥ 1 > 0. Thus 1/n > 0 also, so 0 is a lower bound for A. Hence inf A exists. We now show that there is no larger lower bound. We argue by contradiction. Let b = inf A and suppose that b > 0. Then for every n ∈ N we have b ≤ 1/n. Hence n ≤ 1/b which contradicts the Archimedean property.

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The Principle of Induction • This is the first instance in this text of a "limiting" process, and the connection with the Archimedean property, and through that the continuum property is crucial.

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The Principle of Induction

- This is the first instance in this text of a "limiting" process, and the connection with the Archimedean property, and through that the continuum property is crucial.
- We have not yet defined what we mean by a limit, but it suggests that such a concept is already built in to the definition of the continuum property.

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The Principle of Induction • Here is another example.

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- Here is another example.
- Example 3.2. Let

$$\mathcal{B}=\left\{\frac{2n}{3n-1}:n\in\mathbb{N}\right\}.$$

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Then \mathcal{B} is bounded, and $\inf \mathcal{B} = \frac{2}{3}$, $\sup \mathcal{B} = 1$.

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- *Proof.* There are quite a lot of details which need to be attended to.
- We first deal with the upper bound.
- Such proofs should be divided into three parts. (i) Prove that B ≠ Ø, (ii) prove that 1 is an upper bound, and (iii) prove that there is no smaller upper bound.

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The Principle of Induction

• (i) We have
$$1 = \frac{2 \times 1}{3 \times 1 - 1} \in \mathcal{B}$$
, so $1 \in \mathcal{B}$ and $\mathcal{B} \neq \emptyset$.

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The Principle of Induction • (i) We have $1 = \frac{2 \times 1}{3 \times 1 - 1} \in \mathcal{B}$, so $1 \in \mathcal{B}$ and $\mathcal{B} \neq \emptyset$.

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• (ii) Since $n \ge 1$ for $n \in \mathbb{N}$ we have $3n-1=2n+n-1\ge 2n$.

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- Hence $\frac{2n}{3n-1} \leq \frac{2n}{2n} = 1$ and so 1 is an upper bound.

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- Hence $\frac{2n}{3n-1} \leq \frac{2n}{2n} = 1$ and so 1 is an upper bound.
- (iii) As $1 \in \mathcal{B}$ there can be no smaller upper bound.

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- Hence $\frac{2n}{3n-1} \leq \frac{2n}{2n} = 1$ and so 1 is an upper bound.
- (iii) As $1\in \mathcal{B}$ there can be no smaller upper bound.
- We can deal with the lower bound in the same kind of way.

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- We can deal with the lower bound in the same kind of way.
- We have already established that the set is non-empty in (i) above.

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- We can deal with the lower bound in the same kind of way.
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- It remains to show (ii) that $\frac{2}{3}$ is a lower bound and (iii) that there is no larger lower bound.

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- We have already established that the set is non-empty in (i) above.
- It remains to show (ii) that $\frac{2}{3}$ is a lower bound and (iii) that there is no larger lower bound.
- (ii) We have 3n 1 < 3n for each $n \in \mathbb{N}$, so that $\frac{2n}{3n-1} > \frac{2n}{3n} = \frac{2}{3}$. Hence $\frac{2}{3}$ is a lower bound for the set.

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• Thus
$$b = \inf \mathcal{B}$$
 exists and $b \ge \frac{2}{3}$.

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The Principle of Induction • (iii) We now prove that $b = \frac{2}{3}$. This is the trickiest part of the question. We argue by contradiction.

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• Suppose on the contrary that $b > \frac{2}{3}$, so that $b - \frac{2}{3} > 0$.

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- (iii) We now prove that $b = \frac{2}{3}$. This is the trickiest part of the question. We argue by contradiction.
- Suppose on the contrary that $b > \frac{2}{3}$, so that $b \frac{2}{3} > 0$.
- By the Archimedean property we can choose an $n \in \mathbb{N}$ so that

$$n>\frac{b}{3b-2}.$$

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- (iii) We now prove that $b = \frac{2}{3}$. This is the trickiest part of the question. We argue by contradiction.
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$$n>\frac{b}{3b-2}.$$

• Then, as *b* > 2/3,

$$3bn - 2n = n(3b - 2) > b,$$

 $b(3n - 1) = 3bn - b > 2n,.$

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- (iii) We now prove that $b = \frac{2}{3}$. This is the trickiest part of the question. We argue by contradiction.
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Then, as b > 2/3,

$$3bn - 2n = n(3b - 2) > b,$$

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so that

$$\frac{2n}{3n-1} < b$$

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contradicting the assumption on b.

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The Principle of Induction • Here is something which one *might* think is self evident.

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Theorem 2

Every non-empty subset of \mathbb{N} has a minimum.

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• Before embarking on the proof we should be clear what we mean by the maximum or minimum of a set.

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- **Definition 3.1.** When a set \mathcal{A} of real numbers has the property that it has a lower bound with $m \in \mathcal{A}$, then we say that m is the **minimum** of \mathcal{A} .

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- When a set B of real numbers has the property that it has an upper bound M with M ∈ B, then we say that M is the maximum of B.

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• Example 3.3. 1. The set \mathbb{N} has 1 as its minimum.

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- 3. The closed interval [1,2] has 1 as min. and 2 as max.

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Every non-empty subset of $\ensuremath{\mathbb{N}}$ has a minimum.

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- 2. The open interval (2,3) has neither a max. nor a min.
- 3. The closed interval [1,2] has 1 as min. and 2 as max.
- 4. 2. shows that, even when a set has an inf. or a sup., that does not guarantee that it has a corresponding min. or max. In other words, extrema may not be members of the set.

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The Principle of Induction Now we return to it Proof of Theorem 2. Let A be a non-empty subset of N.

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• Every element of $\mathbb N$ is bounded below by 1 so $\mathcal A$ is bounded below.

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- Let $b = \inf A$.

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- Then b+1 is not a lower bound.

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- Now we return to it Proof of Theorem 2. Let A be a non-empty subset of N.
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- If there would be an element m of A with m < n, then we would have m + 1 ≤ n < b + 1, so that m would satisfy m < b which contradicts b being a lower bound of A.

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The Principle of Induction • This principle can be extended to $\mathbb{Z}.$

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The Archmidean Property

The Principle of Induction • Another consequence of the Archimedean property is that the rationals are dense amongst the real numbers.

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- Thus na < m < nb and dividing by n gives the result.

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Thus if we can show that
(i) P(1) is true,
(ii) whenever P(n) is true P(n+1) is also true,
then it follows that S = N and P(n) is true for every
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- Now suppose that $n \ge 4$ and P(n) is true. Then $2^{n+1} =$

$$2 \cdot 2^n \ge 2n^2 = n^2 + n^2 \ge n^2 + 4n \ge n^2 + 2n + 1 = (n+1)^2.$$

Hence P(n+1) is true.

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• Moreover x < 1 so that $x^{n+1} = x^n \cdot x < x^n < 1$.

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- 3. Suppose that 0 < x < 1. Then for every $n \in \mathbb{N}$ we have $0 < x^{n+1} < x^n < 1$
- *Proof.* Suppose that P(n) is the proposition " $0 < x^{n+1} < x^n < 1$ ".
- Then x < 1 is immediate from the hypothesis, x² < x follows from order axiom O4 and we know 0 < x², so P(1) holds.
- Suppose P(n) is true. Then 0 < x, so $0 < x^{n+1} \cdot x = x^{n+2}$ and $x^{n+2} = x^{n+1} \cdot x < x^n \cdot x = x^{n+1}$.

- Moreover x < 1 so that $x^{n+1} = x^n \cdot x < x^n < 1$.
- Hence P(n+1) is true.